

## 4.1 Final publishable summary report

### Executive summary

EPHOCCELL project addresses a novel concept for solar cell enhancement by combining solar energy modulation, combining both Up-Conversion (UC) and Down-Shifting (DS). The Up-Conversion is a process that converts low energy light in higher energy light *e.g.* infrared to visible light conversion. In the opposite, Down-Shifting process converts high energy light into low energy light *e.g.* ultraviolet to visible conversion. While DS phenomena is certainly explored, the Up-Conversion is an “abnormal” process whose quantum efficiency is notably lower and their practical application in energy devices still remains a great challenge. The solar spectrum received at the Earth surface covers a wide range of wavelengths from 290 nm to 3790 nm. In an ideal situation, the absorption spectrum of photovoltaic (PV) materials should perfectly match the entire solar spectrum in order to convert the maximum photons from solar radiation to electricity. However, there is a large mismatch between the solar emission spectrum and the absorption properties of the present PV materials, especially in the infrared region due to limited absorption spectrum of the solar cells. Indeed, the absorption band of the best PV materials can be found between 400 and 1200 nm depending on the case. The luminescent solar concentrators developed within EPHOCCELL permit the conversion of the whole UV light (290nm – 400 nm) and a part of deep-red and NIR light (700 nm – 860 nm) of solar spectrum to an appropriate radiation. This radiation is mainly in the absorption range for commercial PV modules (and those under development as organic solar cells). The general objective of the EPHOCCELL project was to define and develop a robust easy-to-implement wavelength modulator device with new advanced Up-Conversion and Down-Shifting materials, to radically improve the efficiency of PV panels. EPHOCCELL project has been based on Down-Shifting and Up-Conversion processes, targeting a quantum yield (QY) conversion of at least 85% and 12%, respectively. During the project several strategies have been studied in order to obtain the highest QY for both processes. EPHOCCELL compounds were characterized into different hosts media in terms of QY and stability. In terms of Up Conversion important advances were achieved: worldwide record of 13% QY at moderate Suns equivalents (usually most studied rare earth doped inorganic phosphors need laser power excitation). NIR-to-visible systems were developed and dedicated molecules were designed in order to absorb a wide range of NIR spectral region. Different kinds of material have been used as host material: solvents, low molecular weight polymers, and polymeric gels. UC process in aqueous media has been developed for the first time and high stable systems have been found (overcoming strong accelerated ageing test). In terms of Down Shifting, maximum QY was close to the target, 76% for Large Stokes Shift DS (LSS-DS) compounds. The LSS-DS let to obtain high transparent DS sample, suitable for photovoltaic application. Solvents, polymers and polymeric gels have been evaluated as DS media, which samples presents long term stability, which permit the study DS in PV cell in outdoor conditions. Up-Conversion and Down-Shifting converter devices have been developed independently in order to couple with solar cells. The several configurations have been planned with one or both converter devices. Due to the complexity of these systems, a Ray Trace model with Monte Carlo have been developed and experimentally validated, in order to discern possible configurations outperforming potential solar cell efficiency enhancement. A set of multiple configurations have been modelled including relative converter-PV positioning, coupling with different optical elements for light concentration in UC/DS processes. The design of the devices has also been supported with a thermal model using COMSOL. Precisely, 9 proof-of-principle devices have been finally selected, constructed and tested (i.e. 6 coupling DS systems and 3 coupling UC systems). Target PV cells for these proof-of-principle devices have been wafer-based crystalline silicon, amorphous silicon and Dye-Sensitized Solar Cells. Initially, the enhancement of these proof-of-principle devices has been characterized by means of efficiency (I-V curves) cross-checked with spectral response (EQE). Down-Shifter plus PV cell devices have evidenced efficiency enhancement ranging 3-10% in face-to-face configuration, depending on down shifting compound and PV cell. Additionally, “two-dyes” Luminescent Solar Concentrator configuration have shown performance enhancement ranging 45% using EPHOCCELL compounds. Up-conversion plus PV cell devices have been evidenced improvements of 0.2% (semi-transparent amorphous silicon) and 2% (semi-transparent DSSC), with low concentrated light. In parallel, the predictions concerning the future “ideal” up-conversion systems for coupling with photovoltaics have been modelled through inverse engineering approach. Most promising devices have also been evaluated under real outdoor conditions, presenting similar laboratory characterized performance, after several days of testing. On the other hand, the project has addressed a first insight in a possible cost/benefit estimation of Ephocell technology, with respect to other strategies under development for the efficiency enhancement of solar cells. Summarising, the Ephocell project evidenced significant step further for light modulation systems for photovoltaics, showing that Up-Conversion and Down-shifting have opportunities in PV technology applications. All the EPHOCCELL developments are in line with targets set-up by the SRA of the European Photovoltaic Platform: multiple advances in molecular systems, device design and engineering, robustness evaluation, indoor/outdoor characterization and first cost/benefit analysis.

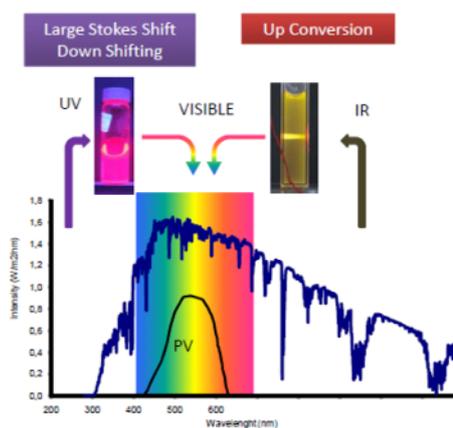
## Summary description of project context and objectives

### a) General objectives

The solar spectrum received at the Earth surface covers a wide range of wavelengths from 290 nm to 3790 nm. In an ideal situation, the absorption spectrum of photovoltaic (PV) materials should perfectly match the entire solar spectrum in order to convert the maximum photons from solar radiation to electricity. However, there is a large mismatch between the solar emission spectrum and the absorption properties of the present PV materials, especially in the infrared region. Indeed, the absorption band of the best PV materials can be found between 400 and 1200 nm depending on the case.

Much of the solar energy available is not used because of the limited absorption spectrum of the solar cells. The luminescent solar concentrators within EPHOCELL will permit the conversion of the whole UV light (290nm – 400 nm) and a part of deep-red and NIR light (700 nm – 860 nm) of solar spectrum to an appropriate radiation. This radiation is mainly in the Visible range for commercial PV modules (Si, a-Si and GaInP) and those under development (organic solar cells). The general objective of the EPHOCELL project was to define and develop an easy-to-implement wavelength modulator device with new advanced materials to radically improve the efficiency of PV panels.

The project is based on two mechanisms to modulate the wavelength of the high and low energy non used photons of the solar spectrum: (i) the down-shifting (DS) that allows the absorption of a high energy photon and the emission of a photon of lower energy; and (ii) The up-conversion (UC) that allows a convert photons with lower energy to higher energy photons. EPHOCELL focuses on developing DS+UC solar concentrator. In Figure 1, a scheme of both processes is presented. Target objective in conversion efficiency is 12% from (near) IR to visible up-conversion and 85% from UV to visible down-shifting. Quantum yield is understood as the ratio of number of photon converted vs. number of absorbed photons. The EPHOCELL project pursues robust and easy-to-implement solutions for these novel luminescent solar concentrators including UC molecular mixtures in combination with Large Stokes Shift down-shifting compounds in functional devices. Suitable solutions and design are under development, with the final goal of achieving a technology that could be transferred to an industrial scale and adapted to already commercial solar cells as well as emerging future technologies.



**Figure 1. Non-absorbable photons modification to active ones through energy transfer.**

### b) Description of the work performed since the beginning of the project

The main R&D activities of Ephocell during the period of time from 1<sup>st</sup> February 2009 (Month 1) to 31<sup>st</sup> January 2013 (Month 48) have been focused on the following:

- (1) Design, synthesis and optimization of molecular mixtures Emitter / Sensitizer giving rise to (near) IR to Vis up-conversion with high quantum yield and photo-chemical stability.
- (2) Design, synthesis and optimization of Large Stokes Shift down-shifters capable of transform UV light onto Vis with high quantum yield and photo-chemical stability.
- (3) Identification, preparation and optimization of suitable hosts for Ephocell purposes: volatile and non volatile solvents, polymers, oligomers, liquid oligomers, polymeric gels.
- (4) Water-dispersed up-converting nanocapsules with aqueous antioxidants, towards proper and long-term sealing of UC devices.
- (5) Design, development and optimization of processing methods and mechanical solutions suitable for taking up-conversion systems out of the glove box and transferring into the technical world (development of functional UC devices).
- (6) Establishment and validation of a ray trace modelling tool for rational design of different device configurations in order to couple photo-active devices and photovoltaic cells.
- (7) Design, development and fabrication of solar cells with appropriate spectral response and transparency for Ephocell concept validation (spectral match of solar cells with available photoactive systems).
- (8) Design, development and fabrication of different proof of principle including Down Shifter and/ or Up Converter device with the developed solar cells (selected from the outputs of ray trace modelling).
- (9) Indoor and outdoor photovoltaic characterization of the selected proofs of principle..
- (10) Establishment and validation of the first thermal modelling tool for guiding final device configurations.

(11) First approximation to a cost-benefit analysis for determining present and future viability of Ephocell concept for PV efficiency enhancement (benchmarking with selected technological alternatives under development outside the consortium).

c) Main results achieved so far:

This Section explains the major findings achieved in Ephocell so far, which go beyond the state of the art.

(1) Design, synthesis and optimization of molecular mixtures Emitter / Sensitizer giving rise to (near) IR to Vis up-conversion with high quantum yield and robustness: Worldwide record of the TTA-UC process (determined in classical terms): 13% (higher than the planned target, 12%) from red to blue UC system. Developed two UC systems with long lifetime exposed to extreme conditions test and UC in aqueous media.

(2) Design, synthesis and optimization of Large Stokes Shift down-shifters capable to transform UV light onto Vis with high quantum yield and robustness: Eu and Tb LSS-DS compound with high QY, approximately 76%.

(3) Identification, preparation and optimization of suitable hosts for Ephocell purposes: solvents (volatile, non volatile), low molecular weight polymer, polymers, polymeric gels.

(4) Design, development and optimization of processing methods and mechanical solutions suitable for taking up-conversion systems out of the glove box and into the technical world. UC under sunlight in optimal mechanically closed container.

(5) Design and modelling different device configurations for coupling luminescent-active systems and photovoltaic cells. Inverse Engineering results for ideal UC and DS systems. First Ray Trace model capable to design device with up-conversion and down-shifting for several photoactive molecules and PV components. Empirical validation of the model and useful for predictive device performance.

(6) Design, development and fabrication of suitable solar cell for suitable adjustment with available UC system into Ephocell. Semitransparent solar cell of amorphous silicon and dye sensitized solar cell suitable for the best UC systems available.

(7) Design, development and fabrication of different DEMOs including Down Shifter or Up Converter device with developed solar cells. At least 9 proof of principle with 3 DEMOs have been done. DS and UC characterized under outdoor conditions. Monitoring of proof of principle.

(8) First thermal model of those proofs of principle. Modelling of thermal behaviour of UC device under concentrated light. Modelling of thermal behaviour of solar cell under concentrated light.

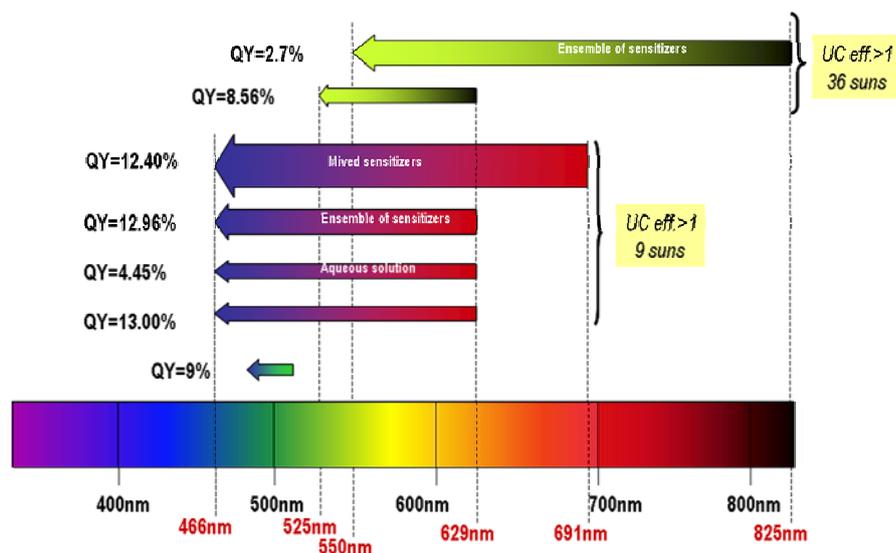
(9) Report on the viability of Ephocell technology against other technologies applied in PV for efficiency enhancement approach. Benchmarking study between Ephocell technology and other current technologies with the same technological target: improvement of PV efficiency. First estimation of UC and DS cost.

Description of the work since the beginning of the project. Main S&T results/foregrounds

In the following paragraphs, more details of previous achievements are presented.

(1) Design, synthesis and optimization of molecular mixtures Emitter / Sensitizer giving rise to (near)IR to Vis up-conversion with high quantum yield and robustness

Great efforts have been centred in this direction in order to reach the "ideal" up-conversion molecular system for a certain PV cell. As major requirement, the UC systems should absorb unused fraction of sunlight and blue-shift the reemission in a central wavelength, where the quantum efficiency of target solar cells present a maximum. Extensive work has been carried out by chemical tuning of Sensitizer and Emitter molecules, in order to maximize the overall conversion efficiency covering a broad and complementary spectral range. Significant advances have been found on novel molecular UC systems (chemical tuning), evaluation of conversion efficiency and stability as well as modelling for the understanding of the energy transfer mechanisms of UC and related experimental studies.



**Figure 2: Best UC systems developed in EPHOCELL. QY value at 36 suns equivalent absorber light. UC efficiency value higher than 1 at indicated sun equivalent light.**

Reference systems at the beginning of the project were “red”-to-“blue” UC with QY in the range of 3%, while project objective are systems with QY of 12% with high blue-shift and high photo-chemical stability. The target of QY of 12% in even exceeded in conjunction with relative small blue-shift, but QY still remains low for high-blue shift systems. Worldwide record value for the up-conversion quantum yield has been achieved. Good Emitter molecules for the combination with tetraanthroporphyrin systems are needed. In Figure 2, an scheme represents the best UC systems identified

during the project. In all cases the value of QY correspond to %, for light intensity of 36 Suns equivalents ( AM1.5), where QY values correspond to the up conversion of absorbed photons. Ephocell introduces a new concept in term of practicable UC devices: UC efficiency, which corresponds to the yield of up converter photons due to incident photon. In Figure 2 the number the suns equivalent light is present in order to have at least UC efficiency higher than 1. In terms of robustness, different host media are studied for UC systems. UC model, Perylene/PdOEP, is used to select the best host in terms of QY and stability (presented in next paragraph). Toluene results the best option in terms of QY. UC model in non volatile solvent presents higher stability than toluene in short term in presence of oxygen. Accelerated ageing test (Xenotest) under controlled atmosphere is used to evaluate the longtime stability of the different UC samples. Although UC is very sensitive organic systems, Ephocell finds two solvents where UC system is huge robust, one of them is standard toluene.

As UC QY depend, among other parameters, on molar concentration of emitter ([E]) and sensitizer ([S]) then fine tuning is done in order to maximize UC efficiency in UC devices. All the UC system has been characterized with an experimental setup, based on supercontinuous quasi -cw laser up to 2W of power allows excitation of any Q-band needed without restriction. In addition, light intensities in order of 1 to 100 suns can be emulated

## (2) . Design, synthesis and optimization of Large Stokes Shift down-shifters capable of transform UV light onto Vis with high quantum yield and robustness

Rare Earth compounds, of Europium and Terbium, for LSS-DS strategy are selected as down-shifters in Ephocell. The studied organolanthanide complexes are based on Europium (Eu) and Terbium (Tb) Rare Earth, which have evidenced QY up 76% and 72% in solution, respectively. The Eu compounds has been identified as suitable candidate with excitation spectrum well located 300-400 nm and emission centred at 610 nm. Meanwhile Tb based compound were identified as good candidate for emission centred at 550 nm with excitation spectrum located 300-375 nm. DS compound have been synthesized in gram scale in order to provide samples for luminescent device fabrication. DS compounds are studied in several different media: solvent, polymeric matrix, polymeric gels and ink/paste for printed techniques. Complete physical, chemical and photochemical characterization has been done in all DS samples. Robust down shifting samples are obtained in solution, for long-time stability under controlled ambient conditions. Down-shifting molecules in solid polymeric samples are selected for DS\_PV proof of principle. The DS films have enough stability in order to implement indoor and outdoor measurements for several weeks.

## (3) Identification, preparation and optimization of suitable hosts for Ephocell purposes

During this final period, the search of new suitable host for UC continued. The progress in that sense indicated that the performance and the stability of UC systems comprised of Emitter and Sensitizer molecules is critically dependent on the presence of the atmospheric dioxygen. Additionally, high local molecular mobility is needed in order to ensure efficient energy transfer. For this reason low Tg media as polymers, alternative non-volatile solvent, liquid oligomers and polymeric gels, are studied as UC hosts. Non volatile solvents and low molecular weight polymers present UC QY lower than standard volatile case: toluene. This behaviour is repeatable observed for various non-volatile solvent or low molecular weight polymers (or oligomers). But, as main outcome, the short-term UC-efficiency stability is significantly increased regarding the stability in volatile organic solvents. The accelerated ageing test, selected for Ephocell samples,

destroys the UC process in all LMWP samples, except in the case of UC model in toluene and particular eutectic mixture. In general, the non-volatile organic solvents and LMWP ensures increase UC-stability. Additionally, the exchange of the volatile organic solvent (toluene) with LMWP (oligomers) or non-volatile organic solvents satisfies all requirements for efficient sealing. Polymeric gels are also studied as UC host. UC model Perylene/PdOEP present UC photoluminescence in developed polymeric gel. Non volatile and volatile solvent based gels are developed. Also gelificated low molecular weight polymer is studied as UC host. Only toluene based gels accomplish optical requirements, with high transparency aspect. UC QY in the gel (see left top photo in Figure 4) is lower than in toluene, but new applications can be possible in this type of innovative hosts.

An important step done onto UC system towards more realistic application is the demonstration of TTA -UC process in an aqueous environment with UC hydrophobic dyes system in micellar structures (see Figure 3). UC standard system presents UC photoluminescence into micelles of non-ionic surfactants. The transfer of the hydrophobic UC-molecular system from an organic solvent to the aqueous environment, keeping the efficiency of the UC - process not changed, could solve the sealing problem for the UC devices completely. Additionally, solvent induced ageing of the sealing material will be drastically reduced. QY of red-to blue UC system in micelles is approximately 4.4, lower than toluene but with great possibilities from practical application side. In terms of QY and stability, toluene is the best candidate found in EPHOCELL to prepare the UC shifters for proof of principle.

In the case of LSS-DS compounds, solvents, polymers and polymer based gels are the host matrix studied by the consortium for make DS device. Blending technique is one of the routes to design DS device as DS films. Grafting technique strategy to link LSS-DS molecules to polymer host by synthesising ligands including grafting functional groups is also studied.

In term of DS polymeric films, blending and grafting techniques are compared. The benchmarking done between both techniques, in terms of QY and stability, indicate that the two strategies are similar. DS QY depends on DS compound concentration in non liquid host, decreasing in some cases in solid polymeric matrix. Then, in order to have non liquid host with higher QY, polymeric gels also are developed as DS molecules host (see Figure 4). Full characterization of all DS samples has been done, evaluating morphological and optical properties. Characterization of stability of LSS-DS systems in polymeric films and gel matrix) is done. Long time stable DS sample have been found, such as Eu compound in solution under controlled atmosphere. Short term lifetime is available in the rest of DS samples. The selection of LSS-DS compound with its host

media (solution, polymeric film, gel, paste) depends on the DS+PV configuration design. At first stage, QY and process of fabrication will be a priority. In the case of Eu compound, higher QY is achieved when the RE complex is blended with a polymeric film. In the case of Tb compound, polymeric films are relative easy to use as DS lenses, but much higher QY is measured in solution sample.

(4) Design, development and optimization of processing methods and mechanical solutions suitable for taking up-conversion systems out of the glove box and into the technical world.

In order to fulfil proof-of-principle / demo activities with current UC systems, Ephocell selected to work under mechanical protection and liquid phase to prove the concept. UC liquid samples present better stability (demonstrated by accelerated ageing studies), technologically more feasible at present, maximum QY efficiency is retained. However, device engineering is needed for real devices. For this reason, Ephocell consortium selected to work with glass encapsulation for UC systems. UC systems are prepared into glove box, used as processing methodology. UC filled rectangular cross section glass tubes are selected for UC device. The encapsulation process is checked with laser, with concentrated

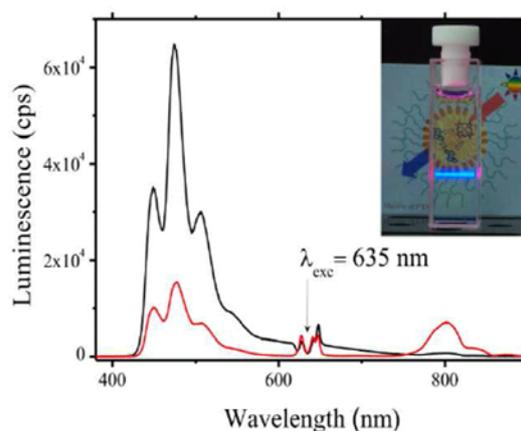


Figure 3. UC luminescence spectrum for red to blue UC system in aqueous environment (the red line) and in standard volatile solvent (the black line). Inset: A photograph of the studied water solution, daylight conditions.

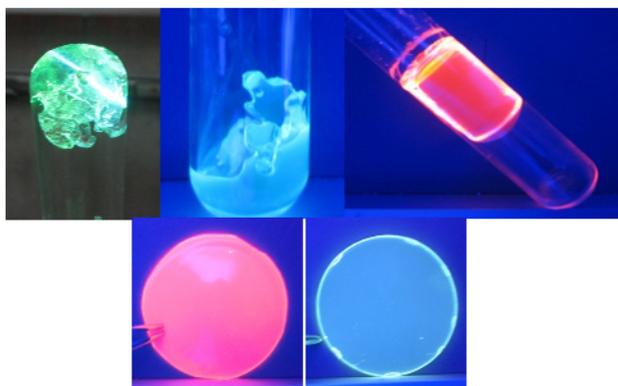


Figure 4: From left to right (top): UC from green to blue, Tb compound and Eu compound, all in different polymeric gels. (bottom) Eu and Tb compound in polymeric films.

solar simulator light and concentrated sunlight (see Figure 5). In the last case an optical setup is developed in order to concentrate the light of the solar simulator (also direct sunlight). Two low solar concentrator of *ca.* 10x and 21x suns equivalent is developed, with final spot of 2mm.

(5) Design and modelling different device configurations for coupling luminescent-active systems and photovoltaic cells. Inverse Engineering results.

From the final results on DS, Tb(III) and Eu(III) Rare Earth based complexes are promising candidates for LSS-DS. Maximum emission intensity is found at *ca.* 610 nm and 550 nm, for Europium and Terbium complexes, respectively. In the case of UC, metallated macrocycles sensitizers have band-like absorption spectrum, with two strong absorption bands – namely the Soret-band and the Q-band. Between those two absorption peaks, optical absorbance is relatively low and significantly less absorber. This phenomenon is called “transparency window”. The spectral width of the transparency window is determined by the range of wavelengths where the absorbance of the sensitizer drops to the value of 10% of the local absorption maxima. The UC and DS couple systems are selected in order to fit each other with the emission in the same central wavelength inside the transparency window. Ray Trace model is very useful tool established and validated by the consortium. To the best of our knowledge, this is the first time that ray trace modelling is developed for Up Conversion and Down Shifting photoluminescent layers. The consortium uses it to design different devices coupling DS and UC layers incorporating selected DS and UC couples. Different devices are design and modelling with Ray Trace model, with one or two of shifters (see Figure 5): with DS (DS\_PV), with UC (UC\_PV) and with both DS and UC (UC\_DS\_PV) devices.

Ray trace modelling takes into account:

- UC system optical properties: absorbance and emission spectra, Sun equivalents dependence for UC QY, optimal emitter and sensitizer concentration dependence for UC QY.
- UC container: transmission, refractive index, internal thickness
- DS shifter: absorbance and emission spectra, DS molecules concentration, thickness,
- Solar cells: semitransparent, opaque PV cells (cSi, aSi and DSSC), and their external quantum efficiency (EQE) characteristics.
- Photon management: compatibilities between UC and DS spectral ranges with spectral response of solar cell, relative position of the UC/DS shifter and PV( face to face, face to edge, beam splitting.) for modelling the UC\_PV devices (>10), DS\_PV devices (>5) and UC\_DS\_PV devices. The most promising configurations, demonstrating maximum predicted Jsc enhancement, indicate that a semitransparent solar cell type with EQE lying within the UC transparency window is most suitable for integration of the Ephocell UC systems. In the case of DS, no penalization occurs between DS shifters and selected PV types. The model predicts up to 3% and 1% of Jsc enhancement in DS\_PV and UC\_PV device, respectively.

Is possible to attain larger enhancement in PV cell Jsc from UC and DS layers? The Ephocell project also answers this question: YES. The empirically validated Ray trace model has been used to make estimation on Jsc enhancement using, up to now, undeveloped new ideal UC systems (in an “inverse engineering” study). The model takes as hypothesis an ideal UC system, with no Soret band absorption and a Q-band with absorption at wavelength between 700 to 1100 nm, for semitransparent a-Si:H solar cell developed in Ephocell. In that case, several parameter are variable: UC QY, the dependence of UC QY on incident light intensity, solar cell transparency at NIR wavelengths, sensitizer absorption within the UC “transparency window” and sensitizer Qband absorption range (from 700nm onset to a defined upper limit). The results of these studies indicate that: It can be an enhancement in Jsc up to 9% is predicted if the a-Si cell were 90% transparent at Qband wavelengths, Qband range can be extended to 1100nm and UC QY is maintained at >10%.

(6) Design, development and fabrication of suitable solar cell for suitable adjustment with available UC system into Ephocell.

From results on DS and UC spectral coupling, specially due to the presence of Soret band UC sensitizer the consortium have developed new solar cells for Ephocell purposes.

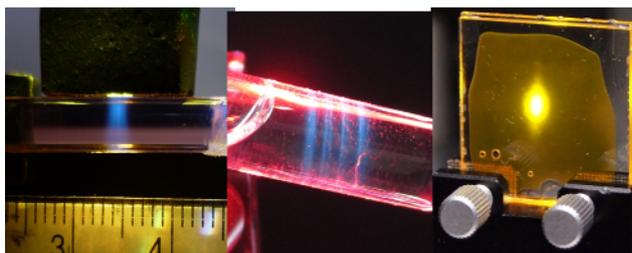


Figure 5: Red to blue UC system under concentrated solar simulator light. Near IR to yellow UC system under cw-laser (From left to right).

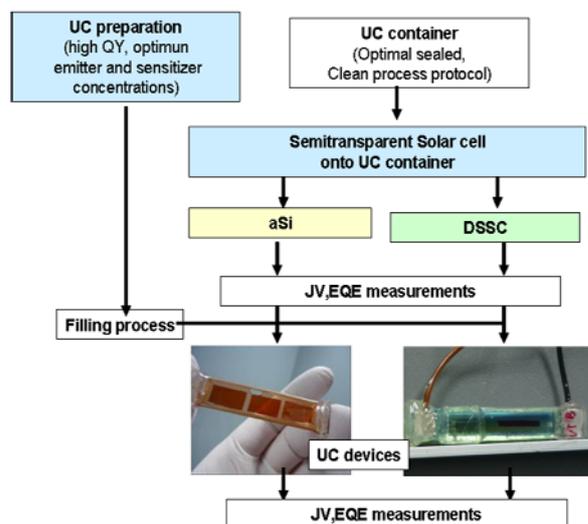


Figure 6: UC\_PV device fabrication protocol. UC devices: aSi solar cell with “near Red to Yellow” UC system (left) and “red to blue” for DSSC (right).

Soret band wavelength ranges of UC sensitizers and solar cell absorbance spectrum have an overlapping, which shows that the available UC into Ephocell penalize the commercial solar cell performance. For this reason the consortium develop new solar cell, which suitable absorbance and external quantum efficiency, accomplish the following requirement: the EQE into UC transparency window. Two semitransparent a-Si:H and DSSC solar cells, with low absorption in Q band sensitizer, with suitable EQE for some of the UC systems are developed. New aSi solar cell can couple with “near IR” to yellow UC system (see Figure 2) meanwhile the new DSSC can couple with most of the “red to blue” UC systems. For a-Si:H solar cell, a development of new transparent conductive oxide have been done. In the case of the new DSSC, several dyes have been studied for optimal spectral response. Both types of solar cell are fabricated and characterized (JV and EQE measurements). Also these solar cells are fabricated directly onto UC device in order to optimize photon coupling.

(7) Design, development and fabrication of different proof of principle including Down shifter or Up Converter device with developed solar cells.

Previous paragraph shows that the progress in UC is very high; and suitable solar cells are developed for achieved a proof of concept, based on spectral coupling and ray trace modelling recommendations. “From simple to complicated” strategy is used for fabrication of different proofs of principle. UC\_PV\_1 configuration is first selected. A simple but careful protocol of UC\_PV fabrication are developed, the main steps of which are presented in Figure 6.

As Figure 6 indicates, a coordinate protocol between the partners (MPIP, DIT, LEITAT, UPC) was implemented. The shown UC\_PV devices correspond to a-Si:H solar cell with “near Red to Yellow” UC systems, with three solar cell deposited onto the same UC container. High transparent electrode and optimal layer thickness make this solar cell higher transparent than commercial a-Si:H solar cells. UC\_PV with DSSC is filled with “red to blue” UC system. The

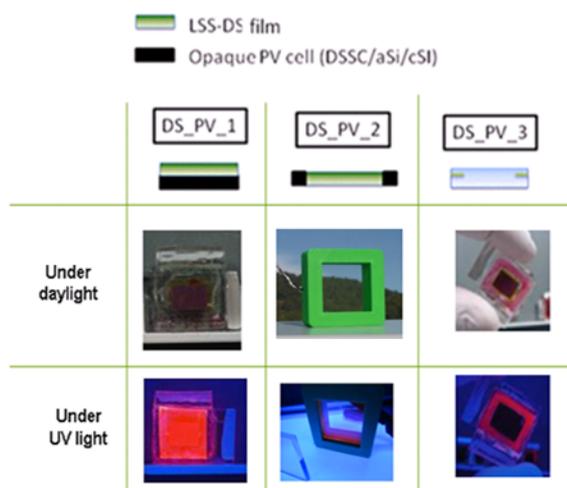


Figure 7: Different DS\_PV systems, with opaque solar cell and DS films in different relative position (face to face, face to edge and new DSSC architecture) under daylight and under UV light where DS process is highly visible.

performance of these proofs of principle devices are studied under simulated indoor conditions, acquiring JV and EQE measurements, before and after UC solution is filled into the UC container. The enhancement in  $J_{sc}$  is correlated with spectral response of UC\_PV device, where the EQE with UC must show an increment in generated photons in the Q band wavelength range.

Analogously for DS\_PV proof of principle, a protocol is developed in order to evaluate high quality device with enhanced efficiency. DS polymeric films (fabricated by DLAB) are used in different DS\_PV devices. In these proofs of principle, opaque commercial and fabricated cSi cells and opaque DSSC are used. In Figure 7, some of the DS\_PV proofs of principle fabricated in Ephocell are presented.

The final characterization, of the designed and fabricated proofs of principle, indicates:

- DS\_PV\_1 with Eu compound doped polymeric film in face to-face configuration onto opaque DSSC produce an enhancement of 3% in  $J_{sc}$ .
- DS\_PV\_2 with Eu compound doped polymeric slab in face to edge configuration with opaque DSSC produce and enhancement of 250% in  $J_{sc}$ .
- DS\_PV\_3 with Lumogen Red frame spacer (new DSSC architecture) does not present significant enhancement. Further optimization must be done.
- UC\_PV\_1 with semitransparent a-Si:H solar cell with IR to yellow UC system with a-Si:H solar cell, presents an enhancement in EQE measurements due to UC (Rubrene/TAP ensemble). EQE with UC is non null for Q band sensitizer wavelengths, when no signal is present without UC. Considering the EQE of the device and the reference solar spectrum (AM1.5), this improvement can be quantified around a 0.2% increase in the  $J_{sc}$  value.
- UC\_PV\_1 with semitransparent DSSC with red to blue UC (mixed sensitizers). The EQE evidenced an increase when the solution was pumped laterally by an intense bias light. The increase in the  $J_{sc}$  value can be estimated around a 2.7%. Anyhow, notice that illuminating through the vitrotube implicitly penalize the performance of the solar cell due to the Soret band absorption of the solution.

(7) Design, development and fabrication of different DEMOs including Down shifter or Up Converter device with developed solar cells.

Most of the proofs of principle are also evaluated and working in outdoor conditions for certain periods of time (day scale) (see Figure 7). Only DS\_PV devices showing positive results under simulated indoor conditions are considered for DEMO activities (due to the project timeline). For quantitative measurements, a platform composed by two sets of equipment, is used. The first, for measurements of the ambient outdoor solar irradiance data, and the second, for measurement of the solar cell device performance characteristics. The global radiation on the horizontal surface (Gh) is measured using pyranometers, and spectroradiometer is used to record the solar spectrum from 337nm to 1100nm. The second set of core components are used to measure power, current and voltage output, from the solar device. This permitted to measure different solar cell devices, facilitates a large number of I-V measurements to be taken on up to 16 test devices (using 4-wire tests) within a relatively short timeframe. The performance of proofs of principle is in correlation with the solar cell used and the stability of DS shifter in each DS\_PV proof of principle. As an example, first results indicate that Eu doped polymeric film and UV blocking layer maintain similar performance in DSSC under outdoor conditions.

(8) First thermal model of those proofs of principle.

The thermal model was developed to study the thermal behaviour of UC\_PV device, because UC needs concentrated light and this can increase the temperature of the solar cell. For empirical validation of this model, two devices were used: UC container filled with toluene and UC container filled with UC system in solution. UC container with under 20 suns equivalent concentrated light, presented an increment in temperature of 11°C. Meanwhile UC container with UC system in solution, showed an increment of 9°C in the illuminated face. The increment in temperature corresponded to exposed UC container face, directly under concentrated light, with and without light. Then, lower temperature was achieved (2°C lower) with UC system. Well match between measured and predicted temperature difference was achieved with the thermal model. Then, the thermal model is used to predict the thermal behaviour of UC\_PV system. The model predicts low temperature increment in UC\_PV system (semitransparent DSSC with red to blue UC system) respect to the same system with plane toluene filled UC container. Although, more improvements in the thermal model are needed, (for example, including variation in PV cell performance due to temperature and concentrated light) a first output indicates that UC system reduces the increment of temperature for UC\_CPV systems.

(9) Report on the viability of Ephocell technology against other technologies applied in PV for efficiency enhancement approach.

Previous paragraph show that spectral converter can be used in PV technologies and more research should be done. But is Ephocell technology economically viable? A report with an inverse cost-benefit analysis of Ephocell technology in order to determine *what do the costs need to be for Ephocell to be viable in the market?*, is done. The study makes the comparison between the cost/benefit (€/ΔWp) ratio of Ephocell with the current modules market price (€/Wp) making a benchmarking of Ephocell against other technologies that share the goal of increasing the electric generation. The study takes into account the same PV technologies used in Ephocell devices: cSi, a-Si:H and DSSC. As no real cost are currently available then a reasonable hypothesis is done: the increment on the cost is proportional to the enhancement in the efficiency. The results of the study indicate that in order to have a viable product in all the market segments, its price must be the lowest price calculated within these three PV technologies. In this way, it is the a-Si:H semitransparent technology that defines the current prices for UC and DS systems as the following ones: Cost UC ≤ 0,096 €/Wp and Cost DS ≤ 0,011 €/Wp. The last value can increase up to 0.023€/Wp if we only consider the case of the c-Si modules, which currently represent 90% of the market. Ephocell project is the first European project that works on both UC and DS in PV field. These technologies are considered as novel technology by the European Photovoltaic Platform. In its last Strategy Research Agenda (SRA), it is stated [1] *“that a novel technology relates to the maturity of different approaches. It is used here for developments and ideas that can lead to potentially disruptive technologies, but where there is not yet clarity on practically achievements conversion efficiencies or future costs”*. At the end of the project, Ephocell consortium demonstrates that UC and DS systems should remain under research to confirm their viability, technical and economical, in PV field. The proofs of principle with DS show a variety of application in cSi and DSSC technologies. Ray Trace model, empirical validated, also predict an enhancement with UC with continued development of UC spectral properties. Taking into account the roadmap of SRA, the Ephocell project has achieved several objectives during the execution period. Finally, Ephocell consortium suggests increasing research effort on:

- Finding UC system with maximum absorption beyond IR and lower (or no) absorption in visible region (to achieve power conversion efficiency enhancement in commercial PV types).

---

<sup>1</sup> SRA 2011, pag.61-64

- More studies should be done in order to have high stable UC and DS system during, at least, the PV cell lifetime.
- The DS and UC system synthesis must be scalable in order to achieve low cost production.

### Expected final results and potential impact and use. Main dissemination activities and exploitation of results

- The Ephocell project contributes to realize the expected impact by focusing on the development of highly innovative materials that will increase the efficiency of photovoltaic devices. The efficiency enhancement of these devices is presently limited by the intrinsic conversion yield of the material and the small portion of the solar spectrum that the current technologies are able to exploit. The new methods and materials developed for the up-conversion and down-shifting systems (in different devices) demonstrate to substantially increase the efficiency of the PV cells in different configuration. The proposed solution goes well beyond the state-of-the-art with notable innovations in materials and sustainable energy as well as an industrial solution of a medium/long term nature.
- First Ray trace model for design Up Conversion and Down Shifting in PV technologies. The Ray trace model studies show not also the viability of design and developed such type of devices but also give a response to the future research activities about the possible enhancement onto PV cell due to UC systems.
- The values of UC QY are even exceeding the target of 12% in Ephocell DOW. Worldwide record in UC QY is achieved, with high red-blue shift under low solar concentration (less than 100 suns, typically in inorganic rare earth up conversion systems).
- All these achievements are close to Strategy Research Agenda (SRA) of European Photovoltaic Platform, which considerer UC and DS as Novel Technologies in the PV field. Latest SRA document proposes several requirements for these technologies and Ephocell is close to them:
  - Polymeric sheet with UC+DS molecules. Ephocell shows that DS molecules can be introduce in polymeric matrix, but UC molecules need some freedom of movement.
  - Increment in efficiency between 10-20%, Ephocell show an enhancement of Jsc up to 3% in existing DSSC PV with the developed DS layers and 2.7% in UC\_PV with red to blue UC systems. It is also shown that Jsc can be increased by up to 9% from UC if the solar cell is 90% transparent in Q band absorption range.
  - Incident light at 1 sun or low concentration. Ephocell shows low solar concentrator. Also ray trace model, empirically validated, show that enhancement of Jsc can be achieved at 1 sun incidence for *ideal* UC systems.
  - UC + DS cost <0.05€/W. No cost of UC and DS are currently available, but Ephocell technology can be viable if the cost of Cost UC ≤ 0,096 €/Wp and Cost DS ≤ 0,011 €/Wp in the case of amorphous silicon. UC+DS+PV cost<0.3€/W when both converters are take into account.
  - Lifetime > 10 years. Stability test done in Ephocell, show high stability in DS and UC system if they are well sealed against oxygen presence.
  - Suitability: All PV technologies Ephocell results in DS can be applied onto several PV systems: cSi, DSSC, aSI, OPV. Limitation exists in UC application, which up to now can be fit with semitransparent solar cells which EQE are in the transparency window of the selected UC system.

In addition to the huge progress beyond the state of art achieved, new developments have also been accomplished due to Ephocell progress:

- UC in water environment
- DS as ultraviolet blocking layer for organic photovoltaic as Dye Sensitized Solar Cells.
- UC and DS in polymeric gels.
- Ray trace model: photon management with UC and DS for PV technologies, for design and predictions.
- First cost-benefit study for UC and DS technologies in PV field.

Owing to previous successes, Ephocell can significantly contribute to the Lisbon strategy goals by increasing the efficiency of solar panels, the possibilities of European energetic production based on renewable energies and enlarging the PV market together with its associated labour force.

Ephocell has achieved to develop four prototypes that will concentrate the focus for exploitation of results:

- PV cells with Up Conversion with applications not only in photonics for processing of infrared light to visible but also in security (detection of fake currency, banknote, passport etc), biology (for oxygenic photosynthesis via photon up-conversion) and ongoing research (such as plasmonic solar cells)

- Thin polymeric layer with Down Shifting fixed over a solar cell with a foreseen enhancement of the energy output >4%. It is expected to exploit this new technology in different markets such as security sector.
- Luminescent Solar concentrator configuration on DS, it is an alternative approach to lower the costs of PV
- Photoluminescent Inks with DS, that could be commercialize in the growing market of printed electronic, especially for OLED displays, e-paper materials, conductive colours and photovoltaic.

It is expected a stable positive trend in income evolution during projected years (2016 to 2020) with aggregated revenues of nearly 3.05M€ in 2020.

As of 31Jan 2013, the dissemination activities conducted are;

- 9 published journal papers + 3 further papers submitted
- 7 published proceedings papers + 1 published extended abstract + 4 proceedings paper accepted
- 21 Conferences/Workshops attended, at which 32 presentations were given (11 oral, 21 poster presentations). 2 further conference presentations accepted for 2013
- 3 invited lectures given
- 1 published book article + 1 published magazine article
- 1 Workshop hosted and organised by LEITAT Technologies, Terrassa, Spain, 2012
- 1 Ephocell information brochure leaflet
- 7-9 planned journal publications
- 1 preliminary patent application (see D7.3, Exploitation Plan)

The detail of all dissemination activities can be consulted in Ephocell website ([www.leitat.org/ephocell/](http://www.leitat.org/ephocell/)).

The main Conferences/Workshops attended have been:

- International Renewable Energy Conference & Exhibition (Eilat, Israel) the 16<sup>th</sup>-15<sup>th</sup> February 2010
- Hybrid and Organic Photovoltaics 2010 (Assisi, Italy) the 23<sup>rd</sup>-27<sup>th</sup> May 2010
- 25<sup>th</sup> European PV Solar Energy Conference and Exhibition (Valencia, Spain) the 6<sup>th</sup>-9<sup>th</sup> September 2010
- Workshop on Photovoltaics and Nanotechnology: from innovation to industry (Aix-les-Bains, France) the 29<sup>th</sup>-01<sup>st</sup> October 2010
- Hybrid and Organic Photovoltaics 2011 (Capri, Italy) the 29<sup>th</sup> May – 01<sup>st</sup> June 2011
- International Exhibition & Conferences on Nanotechnologies & Organic Electronic - Nanotex 2011 (Thessaloni-ki, Greece) the 09<sup>th</sup>-16<sup>th</sup> July 2011
- III International Symposium Topical Problems of Biophotonics 2011 (St. Petersburg, Russia) the 16<sup>th</sup> -22<sup>nd</sup> July 2011
- International Discussion Meeting on Polymer Crystallization (Beijing, China) the 01<sup>st</sup>-05<sup>th</sup> August 2011
- 26<sup>th</sup> European Photovoltaic Solar Energy Conference and Exhibition (Hamburg, Germany) the 05<sup>th</sup>-09<sup>th</sup> September 2011.
- X Brazilian MRS Meeting 2011 (Gramado, Brazil), 25<sup>th</sup> – 29<sup>th</sup> September 2011
- 8<sup>th</sup> Photovoltaic Science Application and Technology (PVSAT-8) Conference and Exhibition (Newcastle upon Tyne, UK), 02<sup>nd</sup>-04<sup>th</sup> April 2012
- Materials Research Spring Meeting (San Francisco, USA), 09<sup>th</sup>-13<sup>th</sup> April 2012
- Hybrid and Organic Photovoltaic (HOPV) congress 2012 (Uppsala, Sweden), 06<sup>th</sup>-09<sup>th</sup> May 2012.
- LOPE\_C 5<sup>th</sup> international Conference and Exhibition for the Organic and Printed Electronics Industry (Munich, Germany), 11<sup>th</sup>-13<sup>th</sup> June, 2012
- 6<sup>th</sup> International Conference on Times of Polymers (TOP) and Composites (Ischia, Italy), 10<sup>th</sup>-14<sup>th</sup> June 2012
- 40<sup>th</sup> Annual NATAS Conference (Orlando, USA), 12<sup>th</sup> – 15<sup>th</sup> August 2012
- SPIE, Optics and Photonics (San Diego, USA), 25<sup>th</sup>-29<sup>th</sup> August 2012
- 27<sup>th</sup> European Photovoltaic Solar Energy Conference and Exhibition (Frankfurt, Germany), 24<sup>th</sup>-28<sup>th</sup> September 2012
- Thin Film and 3<sup>rd</sup> Generation PV: New Processes and technologies for advanced cost efficient devices (I) (Barcelona, Spain), 14<sup>th</sup> December 2012.

Furthermore, a final Workshop “Luminescent Solar Concentrator Workshop” was organized by the Coordinator and was held in LEITAT’s headquarters in Terrassa (Spain) together with the 3<sup>rd</sup> Generation PV cells Cluster meeting the 02<sup>nd</sup>-03<sup>rd</sup> October 2012.

### Project website and logo

The website of the Ephocell project is: [www.leitat.org/ephocell/](http://www.leitat.org/ephocell/)

This website contains information about different aspects of the project:

- i. Project summary: describes the main objectives of the project and expected results
- ii. Partners: Max Planck Institute for Polymer Research (MPIP), University of sofia (OSSU), Dublin Institute of Technology (DIT), Daren Laboratories (DLAB), Centro Nacionalli di Recerca (CNR), Polytechnical University of Catalonia (UPC), MPBata, Cidete Ingenieros, LEITAT Technological Center (coordinator)
- iii. Dissemination: contains updated list of journals, conferences, exhibitions, workshops and other media where the current work developed has been presented.
- iv. News: in this section news concerning the Ephocell project are posted.
- v. Confidential and restricted area, where relevant documents such as Deliverables are updated.

