

SPECS Project - Deliverable D5.4

Identity Management-as-a-Service

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Executive summary

The focus of the task T5.4 is to develop a complete application, which offers the secure storage services using the storage solutions offered by the EMC's ViPR application, together with an additional identity management and access control mechanisms.

The EMC's ViPR application, described in deliverable D5.3, integrates the SPECS framework with ViPR on the EMC testbed. Such solution enables to deliver storage in a software defined datacenter, according to SPECS security SLA life cycle.

In deliverables D5.2.1 and D5.2.2 we illustrated a SPECS application that is able to offer storage-as-a-service functionalities to home users and developers. Thanks to the end-to-end encryption that the Secure Storage application integrates, it was possible to offer a service that enable to easily manage data in cloud, protecting integrity and confidentiality.

This deliverable illustrates how it is possible to offer the storage functionalities integrating the storage capabilities offered by the software defined datacenter and the Secure Storage application, in order to have a security SLA than combines both functionalities. In addition, the secure storage functionalities are now protected with a dedicated AAA-as-a-Service mechanism that gives the name to the new SPECS application.

In particular, this document presents:

- <u>AAAaaS application</u>: We briefly summarize the user story and the associated validation scenarios that define the functionalities offered by the application.
- Application development process: We present the cloud service that is provisioned through the developed AAAaaS application and the security mechanisms that are integrated with the application to enforce and monitor the offered security guarantees. Moreover, we discuss the security metrics and controls that can be negotiated through the application and that imply specific configurations of the cloud service. Additionally, we present the SLA Template that specifies all attributes to enable the automated management of all processes associated to the delivery of the defined cloud service.
- <u>Architecture</u>: We present the design of the developed application and outline the roles of all integrated artefacts. Namely, we present the EMC solution ViPR, the integrated elements of the SPECS platform, and the security mechanisms.
- <u>Performance and scalability analysis</u>: We report the results of the performance and scalability analysis performed for the AAAaaS application.
- <u>Installation, usage, testing</u>: We present the installation and the usage guides and report all tests with which we verified the correctness of the implementation for the developed application.

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1. Introduction

Task T5.4 was driven by the integration of the two main SPECS applications, namely the (i) *SPECS ngDC application*, which consists of the extension of the EMC ViPR storage controller [1] commercial product with the support for SLA management (described in deliverable D5.3), and the (ii) *SPECS Secure Storage application*, which provides end-to-end encryption over a cloud storage service offered by Koofr [13] (described in D5.2.2).

The SPECS ngDC application allows End-users to negotiate performance and security capabilities of a cloud storage service with resources managed transparently through ViPR. During the project lifetime, it was realised that the SPECS-enhanced ViPR solution could benefit from the integration with the End-to-End Encryption (E2EE) mechanism that was developed in tasks T4.3 and T5.2. Thus, the ViPR solution has been extended not only with SLA management functionalities, but also with an easy-to-use client-side encryption and all its secure storage SLA metrics and monitoring capabilities (please refer to deliverables D4.3.3, D5.2.1, and D5.2.2 for more details).

Moreover, as the SPECS platform provides the AAA mechanism (an identity and access management component which supports OAuth2 protocol), it was decided to use this mechanism to provide authentication and authorization functionalities with single sign-on features on top of the E2EE mechanism.

The resulting application offers an end-to-end encrypted storage service on top of the ViPR solution (enhanced with support for SLA management), with identity management and access control features provided by means of the integration of an OAuth server, managed through the AAA mechanism.

The rest of the document is structured as follows.

The high-level description of the application, named "SPECS Secure Storage with AAA-as-a-Service application" or simply "AAA-as-a-Service application" to stress the integration of the AAA mechanism compared to the Secure Storage application, is provided in Section 3. In particular, Section 3.1 reports the user story to which the application refers, while Section 3.2 lists the related validation scenarios.

The application development process, described according to the steps defined in deliverable D5.1.3, is illustrated in Section 4, which summarizes the information on the service being delivered, the capabilities offered on top of it and related metrics.

Section 5 describes the architecture of the application. It presents the testbed on which the application has been developed and tested, illustrates the main SPECS components involved, and how those components have been integrated with ViPR. Moreover, the section identifies the changes required within the E2EE mechanism in order to enable its integration with the AAA mechanism.

Section 6 presents some performance and scalability results, while Section 7 and Section 8 respectively discuss how to install and use the application. Finally, Section 9 reports on the testing results and Section 10 draws some conclusions.

2. Relationship with other deliverables

In Figure 1 we present other deliverables of the project that served as an input for this document. In particular:

- **WP1**: The architecture and the behaviour of the SPECS platform are summarized in deliverable D1.1.3. Deliverables D1.5.1 and D1.5.2 present integration aspects in SPECS and include integration scenarios for the AAAaaS application.
- **WP2**: Deliverables D2.3.2 and D2.3.3 present the final prototypes of the components of the Negotiation module.
- **WP3**: The prototypes of the components of the Monitoring module are discussed in deliverables D3.4.1 and D3.4.2.
- **WP4**: Deliverables D4.3.2 and D4.3.3 present prototypes of the core Enforcement components as well as the security mechanisms integrated with the AAAaaS application.
- **WP5**: The application is developed on top of the default SPECS application introduced in deliverable D5.1.3 and implements the associated user story and validation scenarios defined in deliverable D5.1.2. As already mentioned in the introduction, the AAAaaS application extends the ViPR solution discussed in deliverable D5.3 and secure storage security mechanisms presented in deliverables D5.2.1 and D5.2.2.

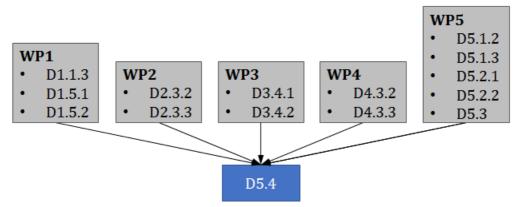


Figure 1. Relationship with other deliverables

3. SPECS AAA-as-a-Service application description

The AAA-as-a-Service (AAAaaS) application is one of the validation applications introduced in deliverable D5.1.2, whose goal is to verify the correct behaviour of the platform with respect to the specified validation scenarios. Validation applications are built on top of the defined user stories and comprise the SPECS solutions portfolio (cf. D6.2.2), released in July 2015 and offering a set of four different close-to-market software products provided by the SPECS consortium partners.

The AAAaaS application is an extension of the Secure Storage user story and is mapped to the *SPECS-enhanced ViPR* solution of the SPECS solution portfolio. It offers the end-to-end encryption, data integrity, backup, identity management and access control functionalities on top of a cloud storage service represented by the ViPR solution [1] provided by EMC.

In the following subsections, we present the refined user story for the application, along with the related validation scenarios.

3.1. User story: Secure Storage with Identity Management

The *Secure Storage with Identity Management* (STOIM) user story, to which the application refers, refines the Secure Storage user story, involving a non-expert End-user that wants to store data on a remote cloud provider with data confidentiality guarantees, such that the provider itself is prevented from accessing the stored data.

In the refined user story, a Cloud Service Provider (CSP) offering cloud storage services resorts to the SPECS platform to provide secure storage functionalities to its customers, along with an Identity Management system for the management of End-users of the service. The customers of this "enhanced" secure storage service are themselves providers, who sell it to their own customers representing the End-users of this User Story. The CSP who builds the enhanced secure storage service hosts the SPECS platform (Interaction Model 2, see deliverable D1.1.1) and is therefore the SPECS Owner.

The enhanced secure storage service offered by the SPECS Owner ensures the following properties:

- Confidentiality and integrity of the stored data;
- Consistency among data updates (i.e., write-serializability);
- Requested data freshness (i.e., read-freshness);
- Management of access control policies for the users of the storage service;

In the next section, we show a set of relevant scenarios related to this User Story and focused on the identity management and access control functionalities.

3.2. Validation scenarios

In the context of the user story described in the previous section, the following scenarios can be derived:

 AAA.1 – Identity Management Set-up. A customer acquires the enhanced secure storage service from the SPECS Owner, configures the service and sets the access control policies for its End-users by using the identity management features offered by the service. Moreover, the customer configures the Identity Federation by identifying the supported identity providers;

- *AAA.2 User registration.* An End-user registers with the secure storage service. An account is created for the End-user and managed by the identity management system;
- *AAA.3 User access with internal account.* An End-user requests the access to the storage system by using the account created when registering with the service;
- *AAA.4 User access with federated account.* An End-user requests the access to the storage system by using an external account belonging to a supported Identity Provider.

These scenarios are detailed in the following subsections, according to the template introduced in deliverable D5.1.1.

In the table below we present the impact of the AAAaaS application on the execution KPIs (defined in deliverable D5.1.1). For each key concern defined in deliverable D5.1.1 we report the percentage of its coverage by the AAAaaS validation scenarios.

Key		AAA-as-a-Service	All SPECS
concern ID	Key concern	application	applications
EC_U	User	20%	66.7%
EC _{TS}	Target services	$0\%^{1}$	100%
EC _{IC}	Invocation chain	33.3%	100%
EC _{SLA}	SLA life cycle	$0\%^{2}$	78.9%
ECss	SPECS services	27%³	100%

Table 1. Impact of the Secure Storage application on execution KPIs

3.2.1. Identity management set-up

General Information			
ID	AAA.1 – Identity_Management_Set-up		dentity_Management_Set-up
Version	1	1.0	
User S	tory	STOIM	Secure Storage with Identity Management
Invocat	tion Chain	IM2- CSP	Interaction Model 2- SPECS acting the role of CSP
			Scenario Steps
General Description		In this scenario, a customer acquires the enhanced secure storage service from the SPECS Owner, configures the service and sets the access control policies for his End-users by using the identity management features offered by the service. Moreover, the provider configures the Identity Federation by identifying the supported identity providers	
Steps			
	Phase	Service acquisition	
	Actor	Customer, SPECS Owner	
Preconditions			
1	Trigger		
	Actions		mer acquires the enhanced secure storage service from the SPECS d is provided with access to an application for its configuration.
	Postconditions		

¹ The Target Service key concern is not applicable to the defined validation scenarios.

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² The SLA key concern is not applicable to the defined validation scenarios

 $^{^3}$ There are 79 requirements associated to the validation scenarios of the AAA user story out of 293 all requirements for the SPECS framework.

	Phase		Identity Management Set-up		
	Actor		Customer, AAA mechanisms component		
	Preconditions				
	Trigge	ər			
2	Actions		The customer accesses the application and configures, via the AAA mechanisms offered, the storage service that will offer to End-users by identifying the access control policy: he sets different authorization roles for the users and configures the tools for authentication (e.g., LDAP, OAUTH) and authorization (e.g., XACML).		
	Postc	onditions			
	Phase	Э	Identity Federation configuration		
	Actor		Customer, AAA mechanisms component		
	Preco	nditions			
3	Trigge	er			
	Action	าร	The customer, via the AAA mechanisms offered with the service, identifies a set of external Identity Providers that he aims at supporting in an Identity Federation (e.g., Facebook)		
	Postconditions				
Graphic	Graphical Model		Not reported		
	Coverage Information				
Users	ers U_1 (CSC:User)				
Target services	NOLADOIICADIE		cable		
SPECS services	Ι Δ Δ Δ ΜΑΓΝΑΝΙΚΜΟ		hanisms		
SLA		Not Applicable			

3.2.2. User registration

	General Information			
ID AAA.2 – User_Registration		Jser_Registration		
Version		1.0		
User St	ory	STOIM	Secure Storage with Identity Management	
Invocati	on Chain	IM2- CSP	Interaction Model 2- SPECS acting the role of CSP	
			Scenario Steps	
			enario, an End-user of the enhanced secure storage service performs tion by providing his/her data.	
Steps				
	Phase	Registration	Registration	
	Actor	End-user,	AAA mechanisms component	
1	Preconditions	The provider of the service (i.e., the customer that has acquired the service from the SPECS Owner in the User Story) has defined an access control policy		
Trigger				
	Actions	The End-user fills the registration form with her/his personal information and specifies the features of the storage service he/she is interested to use. The information is submitted to the AAA component.		
	Postconditions			

	Phase		Registration	
	Actor		AAA mechanisms component, End-user	
	Preco	nditions		
2	Trigge	er		
Actions		ıs	A new account is created for the End-user and submitted information is associated with it. The End-user is returned the credentials to access the application.	
	Postconditions			
Graphical Model		el	Not reported.	
	Coverage Information			
Users		U_1 (CSC:User)		
Target services	1 NOLADDIICADIE		cable	
SPECS services		AAA mechanisms		
SLA		Not Applicable		

3.2.3. User access internal account

General Information				
ID AAA.3 - User_Access_Internal_Acc			ser_Access_Internal_Account	
Version		1.0		
User St	ory	STOIM	Secure Storage with Identity Management	
Invocati	on Chain	IM2- CSP	Interaction Model 2- SPECS acting the role of CSP	
			Scenario Steps	
Genera	Description		nario, an End-user requests the access to the storage system by account created when registering with the service;	
Steps				
	Phase	Authentica	ation	
	Actor	End-user,	AAA mechanisms component	
	Preconditions			
1	Trigger			
	Actions	SAML req	user submits an authentication request (through, for example, an usest) to the SPECS AAA component by using the account created during registration.	
	Postconditions			
	Phase	Authentica	ation	
	Actor	End-user, AAA mechanisms component		
	Preconditions	The End-เ	user has a valid account on the application	
2	Trigger			
	Actions	server) an	component checks the account in the internal repository (e.g. LDAP and authenticates the End-user, by applying the access control policy his/her role.	
Postconditions				
Graphical Model			Not reported	
			Coverage Information	
Users	Users U_1 (CSC:User)			

Target services	Not Applicable
SPECS	
services	
SLA	Not Applicable

3.2.4. User access external account

			General Information		
ID	AAA.4 - User_Access_External_Account		ser_Access_External_Account		
Version		1.0			
User St	ory	STOIM	Secure Storage with Identity Management		
Invocat	ion Chain	IM2- CSP	Interaction Model 2- SPECS acting the role of CSP		
			Scenario Steps		
General Description		In this scenario, an End-user requests the access to the storage system by using an external account belonging to a supported Identity Provider. When the user chooses to authenticate through an external source, the application checks that the external account is associated with a supported identity provider. In this case, the user is authenticated. Otherwise the application asks if the End-user wants to associate the external account with her/his existing internal account. In this latter case, the End-user must first be authenticated on the application in order to prove the ownership of the internal account.			
Steps					
	Phase	Authentica	ation		
	Actor	End-user			
	Preconditions	The End-user has a valid account on the selected external authentication source.			
1	Trigger				
	Actions	The End-user requests the access to the storage service by selecting an external authentication source and performs the login with the credentials of the external account, retrieving her/his personal information.			
	Postconditions	The End-user is authenticated on the external authentication source.			
Phase A		Authentica	ation		
	Actor	AAA comp	ponent, End-user		
2.1	Preconditions	An internal account exists for the End-user. The internal account is already linked to the external account.			
2.1	Trigger				
	Actions	The AAA component checks if the external account is associated with any valid internal account and authenticates the End-user.			
	Postconditions	The End-user is authenticated on the application.			
	Phase	Authentica	ation		
2.2	Actor	AAA component, End-user			
	Preconditions	An internal account exists for the End-user. The internal account is not yet linked to the external account.			
	Trigger				
	Actions	valid interi	component checks if the external account is associated with any nal account and does not find any match. The AAA component asks ser to associate the external account to her/his existing internal fany exists.		
	Postconditions	The internal account of the End-user is linked to this/he external account.			

	Phase		Authentication		
3.2	Actor		End-user, AAA component		
	Preconditions				
	Trigger				
	Actions		The End-user logs into the application with the credentials of the internal account. The AAA component authenticates the End-user.		
	Postconditions		The AAA component End-user is authenticated.		
Phas		е	Account association		
	Actor		SPECS AAA component		
	Preconditions				
4.2	Trigger				
	Actions		The link with the external account is created for the user entry by the AAA component.		
	Postconditions		The link to the external account is stored in the AAA component repository		
Graphic	Graphical Model		Not reported		
Coverage Information			Coverage Information		
Users U_1 (C		U_1 (CSC	C:User)		
Target services		Not Applic	pplicable		
SPECS services					
SLA Not Applic		Not Applic	cable		

4. Application development process

In this section, the process of the development of the AAAaaS application is illustrated. As reported in deliverable D5.1.3, SPECS applications are built by developers by customizing the *default SPECS application*, which includes all the functionalities needed to negotiate, implement, and monitor an SLA. The customization consists in identifying, developing, and deploying the mechanisms needed to grant the features that the SPECS Owner is willing to offer through the application. The application development process, shown in Figure 2, consists of the following four steps:

- 1. **Cloud Service Definition**: We define the type of the cloud service to be offered to the End-users through the application.
- 2. **Security Mechanism Preparation**: We identify and develop/integrate security mechanisms able to enforce and monitor the defined cloud service.
- 3. **SLA Template Preparation**: We summarize and formalize all features offered through the application in an SLA Template for an automated SLA negotiation and enforcement.
- 4. **SLA Template and Security Mechanisms Deployment**: We register the application's SLA Template and all Chef recipes⁴ that are needed for an automated management of the associated security mechanisms.



Figure 2. SPECS Application development process

In the following subsections, we detail these steps for the SPECS AAAaaS application.

4.1. Cloud service definition

The target service offered by the AAAaaS application is a cloud storage service hosted on the EMC datacentre and managed through the SPECS-enhanced ViPR controller, provided with end-to-end encryption features and identity and access control management functionalities. Secure storage services are provided by SPECS through the **DBB (Database and Backup as a Service)** and the **E2EE (End-2-End Encryption) security mechanisms**. These mechanisms, introduced in deliverable D4.3.2, offer the following security properties:

- Client-side encryption enforcing confidentiality (CO).
- Detection and proof of violations related to integrity (IN), write-serializability (WS), and read-freshness (RF).
- Backup of stored data.

Identity management and access control functionalities are provided by means of the **AAA** (Authentication, Authorization, and Auditing) security mechanism, described in deliverable D4.3.3.

4.2. Security mechanism preparation

As discussed in the previous section, the AAAaaS application integrates three security mechanisms developed in SPECS, namely the DBB, E2EE, and AAA mechanisms, with the EMC ViPR-based storage solution. These mechanisms enforce capabilities as shown in Table 2.

In SPECS, the automated deployment and configuration of resources and services is orchestrated with Chef [2].
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Security		
Mechanism	Capability	
DBB	Surviving incidents that compromise the availability and/or integrity of	
	data stored remotely by providing backup service and the detection of	
	violations associated to write-serializability and read-freshness.	
E2EE	Providing client-side encryption enforcing confidentiality.	
AAA	Providing identity management and access control functionalities.	

Table 2. Capabilities offered through the AAAaaS application

Note that all technical details for the DBB and the E2EE mechanisms are provided in deliverables D4.3.2 (initial prototypes), D4.3.3 (final prototypes – automated implementation and remediation), D5.2.1, and D5.2.2 (final prototypes – integration with the Secure Storage application).

Similarly, the prototype for the AAA mechanisms, which enables management of user accounts and provides a federated authentication features, is presented in deliverable D4.3.3.

Each mechanism comes with its own cookbook, which enables its automatic deployment and configuration through Chef [2]. The recipes/cookbooks are available on the project's Bitbucket account [3].

Since the functionalities offered by the AAA mechanisms affect also the E2EE mechanism (because it requires that all interactions with End-users are authenticated), in order to activate both of them it is necessary to update the E2EE implementation for integration with AAA. The needed changes in E2EE are reported in Section 5.4.

4.3. SLA Template preparation

The SPECS application negotiates the services with End-users by following a process based on the WS-Agreement standard, which adopts proper templates summarizing the features that can be offered to customers (see deliverable D2.3.3).

To enable negotiation, the SPECS developer has to build a WS-Agreement-compliant SLA template, which summarizes what kind of service is to be delivered, what are the security capabilities that can be offered to End-users through the application, and what are the related guarantees. To enforce features discussed in Section 4.1 (that are enforced and monitored by security mechanisms reported in Section 4.2), we defined three security capabilities shown in Table 2. For each of these three capabilities we identify a set of NIST [4] and CSA [5] security controls and a set of security metrics that they implement. We report them in the following three tables (separately for each capability/mechanism).

Security capability	Database and Backup as a Service			
NIST 800-53r4	• CP-2(4) Contingency plan Resume all missions / Business functions			
	CP-2(6) Contingency plan Alternate processing / Storage site			
	CP-6(1) Alternate storage site Separation from primary site			
	CP-9 Information system backup			
	CP-9(6) Information system backup Redundant secondary system			
	CP-10 Information system recovery and reconstitution			
	SI-7 Software, firmware, and information integrity			

	 SI-7(1) Software, firmware, and information integrity Automated notifications of integrity violations SI-7(5) Software, firmware, and information integrity Automated response to integrity violations 		
CSA CCM v3.0	 IVS-02 Infrastructure & Virtualization security Change detection BCR-01 Business continuity management & Operational resilience Business continuity planning BCR-11 Business continuity management & Operational resilience Policy AIS-03 Application & Interface security Data integrity 		
Security metrics	 Write-Serializability (WS): This metric ensures the End-user consistency among updates of the stored data. In case of WS violations, the End-user will be notified and the system will be restored to the state of the last completed backup. Read-Freshness (RF): This metric ensures the End-user that the requested data will always be fresh as of the last update. In case of RF violations, the End-user will be notified and the system will be restored to the state of the last completed backup. Integrity (IN): This metric ensures the End-user integrity of the stored data. 		

Table 3. Controls and metrics for the DBB mechanism

Security capability	End-2-End Encryption		
NIST 800-53r4	 SC-12 Cryptographic key establishment and management SC-13 Cryptographic protection 		
CSA CCM v3.0	 EKM-01 Encryption & Key management Entitlement EKM-03 Encryption & Key management Sensitive data protection 		
Security metrics	• Confidentiality (CO) : This metric ensures the End-user confidentiality of the stored data. Confidentiality is enforced with end-2-end encryption provided by the Client component. We guarantee that the Client component is audited and thus (used as is) grants the security of encryption.		

Table 4. Controls and metrics for the E2EE mechanism

Security capability	Authentication, Authorization, and Auditing		
NIST 800-53r4	AC-1 Access control policy and procedures		
	AC-2(6) Account management Dynamic privilege management		
	AC-2(7) Account management Role-based schemes		
	AC-2(8) Account management Dynamic account creation		
	AC-2(12) Account management Account monitoring / Atypical usage		
	AC-3 Access enforcement		
	AC-3(7) Access enforcement Role-based access control		
	AC-7 Unsuccessful logon attempts		
	AU-1 Audit and accountability policy and procedures		
	AU-2 Audit events		
	AU-2(3) Audit events Reviews and updates		
	AU-3 Content of audit records		

CSA CCM v3.0	 IAM-02 Credential lifecycle / Provision management IAM-04 Policies and procedures
Security metrics	• Secure Delegated Access (SDA) : This metric ensures that an OAuth Server is configured to ensure authentication and authorization of users and secure delegated access to the users' resources to registered clients.
	 Access Report Generation Frequency (ARGF): This metric sets the frequency of access reports generation. For example, for access_report_gen_frequency=12, SPECS ensures that a report is generated at least once every 12 hours. AAA Log Completeness (ALC): This metric represents how detailed the access reports must be.

Table 5. Controls and metrics for the AAA mechanism

The created SLA Template for the AAAaaS application is reported in full in Appendix 1.

4.4. SLA Template and security mechanisms deployment

The last application development step is the deployment of the security mechanisms and of the SLA Template to make them available to the SPECS application. Since this step is not application specific (the process of the registration of recipes and the SLA Template is the same for all SPECS applications), we refer the interested reader to deliverable D5.1.3 for further details about this step.

5. Architecture

This section presents the design of the AAAaaS application. In particular, we present the testbed on which the application has been developed and tested (in Section 5.1), the EMC software used for the AAAaaS application (in Section 5.2), the integrated artefacts of the SPECS framework (in Section 5.3), and the modifications of the SPECS developed security mechanisms integrated into the application (in Section 5.4).

Note that further details about ViPR are available in deliverable D5.3, details about the SPECS framework are discussed in deliverable D1.1.3, and details about the mechanisms integrated into the AAAaaS application are presented in deliverables D4.3.2, D4.3.3, D5.2.1, and D5.2.2.

5.1. Testbed

In order to emulate a real world scenario for D5.4, the testbed (described in deliverable D5.3, Section 5.3) was extended by adding another server running ESXi to the existing architecture. This new physical architecture, shown in Figure 3, enables the minimum features required for a datacentre managed by VMWare vCenter.

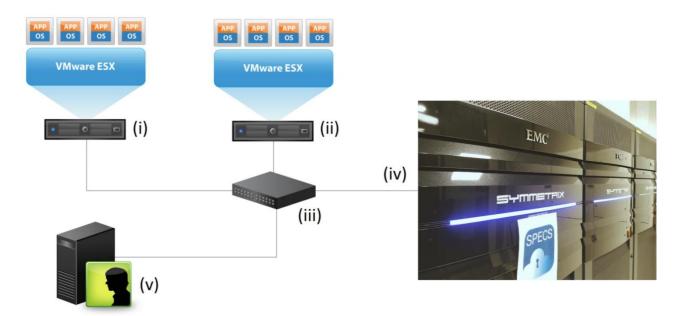


Figure 3. Physical architecture of the testbed

The core hardware components of the architecture, illustrated in Figure 3, are:

- (i) ESXi server [8] (running vCenter)
- (ii) ESXi server
- (iii) Cisco Switch
- (iv) VMAX array [9]
- (v) Management server

The new server (ii) added to the architecture is a Cisco UCS C200 M2 5 equipped with 4 Intel Xeon E5620 2.4 GHz CPUs, \sim 50 GB of RAM and \sim 400GB of storage, the machine is installed with ESXi 5.5 and is managed by the vCenter running on the original server (i). As with the

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⁵ http://www.cisco.com/c/dam/en/us/products/collateral/servers-unified-computing/ucs-c-series-rack-servers/c200m2 lff specsheet.pdf

components in the previous architecture in D5.3, (i) is connected to the Cisco switch (iii) in the same IP sub network.

The VMAX array (iv) provides external storage to the hosts (i) and (ii) in the architecture, emulating a Cloud storage scenario. Storage is acquired and managed through the EMC commercial storage automation software, ViPR. ViPR abstracts the physical layer and pools resources into the virtual blocks of storage and delivers automated, policy driven storage services on demand via a self-service catalogue. In D5.3, the ViPR application (and it's open source counterpart, CoprHD [10]) was integrated with the SPECS framework, enabling Endusers of the SPECS web application to acquire virtual storage resources according to a set of performance and security requirements guaranteed by an SLA.

A VMware *Distributed Resource Scheduler* (DRS)⁶ cluster was created and the two hosts added to it. The DRS cluster is a collection of ESX/ESXi hosts and associated VMs with shared resources and a shared management interface. The host's resources become part of the cluster's resources. In addition to this aggregation of resources, a DRS cluster supports cluster-wide resource pools and enforces cluster-level resource allocation policies.

The following cluster-level resource management capabilities are available.

- **Load Balancing**: The vCenter Server system monitors distribution and usage of CPU and memory resources for all hosts and VMs in the cluster. DRS compares these metrics to an ideal resource utilization given the attributes of the cluster's resource pools and VMs, the current demand, and the imbalance target. DRS then performs (or recommends) VM migrations. When you first power on a VM in the cluster, DRS attempts to maintain proper load balancing either by placing the VM on an appropriate host or by making a recommendation.
- **Power Management**: When the VMware Distributed Power Management (DTM) feature is enabled, DRS compares cluster- and host-level capacity to the demands of the cluster's VMs, including recent historical demand. DTM places (or recommends placing) hosts in standby power mode if sufficient excess capacity is found. DTM powers on (or recommends powering on) hosts if capacity is needed. Depending on the resulting host power state recommendations, VMs might need to be migrated to and from the hosts.
- **Virtual Machine Placement**: You can control the placement of VMs on hosts within a cluster, by assigning DRS affinity or anti affinity rules.

The other minimal feature is the VMWare High Availability (HA)⁷ capability which supports high availability for VMs by pooling them and the hosts they reside on into a cluster. VMware HA monitors the hosts. In the event of host failure, VMware HA migrates virtual machines to hosts with available capacity. When you add new VMs to a VMware HA enabled cluster, the VMware HA capability checks whether there is enough capacity available to power on that VM on a different host in case of host failure.

5.2. ViPR

On completion of the SLA negotiation and enforcement of storage services through ViPR according to the steps outlined in D5.3, a virtual block of storage provided by physical drives

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⁶ https://www.vmware.com/pdf/vmware_drs_wp.pdf

⁷ https://www.vmware.com/files/pdf/VMwareHA twp.pdf

in the VMAX array is made available to the End-user. This storage service is monitored according to the SLOs outlined in the associated SLA that specify all the parameters in order to guarantee the users' security requirements. This storage, allocated through ViPR, will be presented to the vCenter running on (i) as a data store on the designated ESXi server. This data store can then be used store ISO images and templates, and to allocate VMs. The data store is added to the DRS cluster resource pool so that it appears to ESXi and vCenter in the same way as any storage directly connected to the host.

In this way the End-users have an end-to-end process for acquiring Cloud storage through ViPR (or CoprHD) and then deploying VMs and services on top of this storage. The negotiation, enforcement and monitoring mechanisms offered through SPECS provide assurances and fine grained control over the underlying resources on the CSP side upon which the End-user will run their environment.

5.3. Integration with SPECS framework

In Figure 4 we present a high level architecture of the AAAaaS application in terms of integration with the SPECS framework. Through the AAAaaS web application, End-users will be able to acquire cloud storage services and the system will automatically deploy applications and external packages to implement security services providing end-to-end encryption functionalities. Furthermore, via the AAA security mechanism, the access to cloud storage resources will be protected via an authentication and authorization system based on the OAuth protocol.

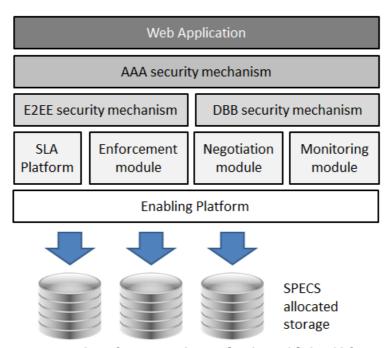


Figure 4. Integration of AAA security mechanism with SPECS framework

Apart from the AAA, DBB, and E2EE security mechanisms, the AAAaaS application integrates the core modules of the SPECS framework to manage the SLA life cycle. Namely, the AAAaaS application integrates the SLA Platform, the Negotiation module, the Monitoring module, the Enforcement module, and the Enabling Platform. For further details, see dedicated deliverables (D1.4.1, D2.3.3, D.3.4.2, D4.3.3, D1.6.2, respectively).

The integration of EMC's infrastructure, namely VMWare infrastructure, with the SPECS framework was possible by using the vSphere API. This was accomplished by developing a Java connector (VMWare connector) that allows SPECS to interact directly with the VMWare infrastructure through the vSphere API. The VMWare connector was developed as a module in the SPECS Broker, by using the VMware vSphere Management SDK.

The VMWare connector enables the following specific operations into the SPECS Broker: *Create VM, Clone VM from Template* and *Delete VM*. Within these operations, it is also possible to specify certain configuration options and target datastores, resource pools, networks, etc. The VMWare connector is used by the SPECS Broker to deploy configured VMs from existing templates on a target host.

The above described data storage selection feature allows the SPECS Broker to target the ViPR allocated storage and to deploy the VMs on top of it, in order to implement the security features included in the corresponding SLA.

A SPECS custom OS^8 raw image was converted to a VMWare template with two network interfaces. The deployment of the VM is really fast, taking less than 10 seconds, due to the size of the template.

After the VMs creation, the basic software installation/configuration, and the Chef client installation (carried out over SSH), the new VMs will be registered with the Chef server and SPECS will complete their configuration by installing the required software. In the case of the AAAaaS application, this includes the installation of the AAA, DBB, and E2EE mechanisms, which are deployed using proper Chef recipes.

The SPECS Monitoring module will continuously monitor and verify whether the SLAs signed by the End-users are always enforced correctly. Any detected violations will be notified to the SPECS Enforcement module.

5.4. E2EE integration with AAA

The first version of the E2EE mechanism supported only Secure Remote Password [6] authentication. This means that the user needed to register to the E2EE mechanism which then stored and managed the user account. Later the support for token authentication has been implemented to meet the Koofr authentication requirements (please see deliverable D5.2.2 for more details). However, this still required that user accounts are managed by the E2EE mechanism itself. As SPECS platform provides the AAA mechanism (an identity management component which supports OAuth2 protocol), it was decided that this component will be used to provide a single sign-on using OAuth2 in the E2EE mechanism. This way the Koofr requirement for the E2EE mechanism to support the OAuth2 authentication would be met.

Using the AAA component, the sign-on window in the E2EE Client was changed from the one depicted in Figure 5 to the one depicted in Figure 6.

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⁸ https://bitbucket.org/specs-team/specs-core-enabling_platform-custom-os

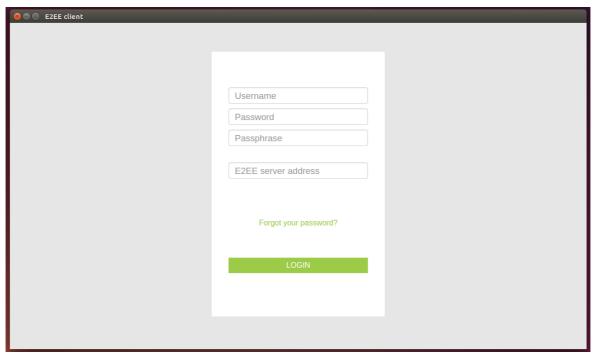


Figure 5. E2EE Client sign-on

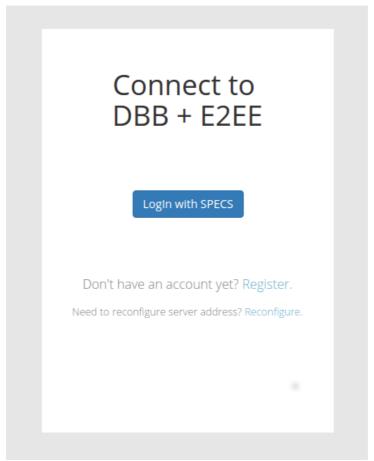


Figure 6. Login with SPECS to E2EE

Note that the passphrase and E2EE server address are still needed, but were moved to the second screen of the application. The username and password are not needed because the user is directed using OAuth2 protocol to the SPECS Login page depicted in Figure 7.



Figure 7. Login on AAA server

Once the user clicks the button on the E2EE Client sign-on page and submits the credentials on the SPECS Login page, the AAA Server component returns the authorization code (if the credentials are valid) to the E2EE Client which can then execute a request to the AAA Server to retrieve a token. The code for retrieving the token is given below:

```
var code = "{{ code }}";
var auth = btoa(CLIENT_ID + ":" + CLIENT_SECRET);
var request = new XMLHttpRequest();
request.onreadystatechange = function() {
   if (request.readyState == 4) {
      console.log(request.response);
   }
};
var url = TOKEN_ENDPOINT + '?grant_type=authorization_code&redirect_uri=' +
REDIRECT_URI + '&code=' + code; request.open("POST", url, true);
request.setRequestHeader('Authorization', 'Basic ' + auth);
request.setRequestHeader('Content-Type', 'application/x-www-form-urlencoded');
request.send(null);
```

Once the AAA Server returns the token to the E2EE Client, each request to the E2EE Server needs to be equipped with a token. The E2EE Server checks the validity of the token using the following code:

```
response, err := http.Get(TOKEN_ENDPOINT + "?token=" + token)
if err != nil {
   fmt.Printf("Error while making request")
   return false
}
defer response.Body.Close()
body, _ := ioutil.ReadAll(response.Body)
fmt.Printf(string(body) + "\n") return !strings.Contains(string(body), "error")
```

If the token is valid, the E2EE Server accepts the request and returns a corresponding response (for E2EE Server API please see deliverable D5.2.2).

Once SPECS login has been enabled, it was simple to support other external identity providers. Because Koofr support Google and Twitter sign-on, Google sign-on was added also to the E2EE mechanism as depicted in Figure 8.

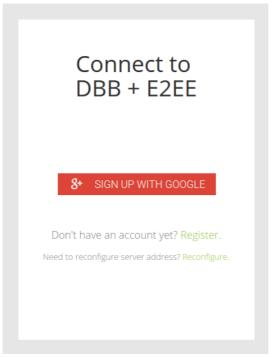


Figure 8. Login with Google to E2EE

Submitting the form takes user to the Google dialog page as depicted in Figure 9.

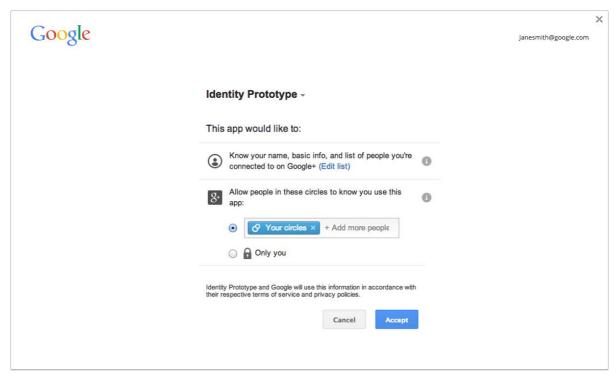


Figure 9. Google login and authorization

The code remains largely unmodified, only while for SPECS login a function launchWebAuthFlow [12] is needed to be used, function getAuthToken is used for a Google login.

```
chrome.identity.launchWebAuthFlow( {'url':specsURL,'interactive':true},
function(redirectURI) {
    if (chrome.runtime.lastError) {
        callback(new Error(chrome.runtime.lastError));
        return;
    }
    var matches = redirectUri.match(redirectRe);
    if (matches && matches.length > 1)
        handleProviderResponse(parseRedirectFragment(matches[1]));
    else
        callback(new Error('Invalid redirect URI'));
});
```

```
chrome.identity.getAuthToken({ 'interactive': true }, function(token)
{
    crypton.token = token
    chrome.identity.getProfileUserInfo(function(userInfo) {
        var username = userInfo["email"]
        if (serverUrl !== "") {
            chrome.storage.local.set({"serverUrl": serverUrl})
        }
        crypton.openSession(username, passphrase)
    })
})
```

6. Performance and scalability analysis

As with deliverable D5.3, the inherent scalable, resource pooling nature of the products used in the SPECS architecture, described in Section 5, means that the SPECS application can scale to meet even the most strenuous demands. With the latest version of vSphere (version 6.0), the maximum configuration limits have been increased to the point where it can be said that the scalability limits of the computing power available to end users is negligible.

Table 6 shows the performance comparison between the most recent versions of vSphere, including vSphere 5.5 used in our testbed (VMWare, 2015). As can be seen, even in vSphere 5.5, the resources available to a single instance of vSphere are capable of accommodating significant infrastructure demands. Implementing the same infrastructure on vSphere 6.0 represents a huge performance boost: double the number of VMs, four times as much RAM and 50% more logical CPUs.

	vSphere 5.0	vSphere 5.1	vSphere 5.5	vSphere 6.0
Logical CPU	160	160	320	480
Physical RAM	2TB	2ТВ	4TB	12TB ⁹
Virtual CPU	2048	2048	4096	4096
Virtual Machines	512	512	512	1024

Table 6. ESXi Performance

Similarly, the maximum hardware limits for individual VMs are highlighted in Table 7.

	vSphere 5.0	vSphere 5.1	vSphere 5.5	vSphere 6.0
Virtual CPU	32	64	64	128
Virtual RAM	1TB	1TB	1TB	4TB
Max VMDK size	2TB	2TB	62TB	62TB
Virtual SCSI target	60	60	60	60
Virtual NICs	10	10	10	10

Table 7. ESXi Virtual Machine Hardware

As mention with respect to Table 6 above, the performance of a single ESXi host allows for the configuration of a significant number of resources that would accommodate the demands of a typical SME for example. However, even greater scalability and performance can be achieved through the management of multiple hosts and clusters. As illustrated in Table 8, vCenter has the capability to manage up to 1000 hosts (even with vSphere 5.5) and up to 10000 powered on VMs. Further improvements in scale can be gained by linking instances of vCenter Server together to pool the resources of up to 10 vCenter Servers.

Chef server is another key software component in the SPECS infrastructure, orchestrating the deployment of mechanism such as AAA, DBB, and E2EE using Chef recipes. Chef server is highly scalable in this regard; a single instance of the server is capable of handling requests for thousands of nodes [14]. To achieve greater scalability, it is possible to expand into a tiered front-end/back-end architecture with horizontally scaled front-ends to reduce the load on bottlenecks.

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 $^{^{\}rm 9}$ 12TB supported on specific OEM certified platforms, otherwise the maximum is 6TB. SPECS Project – Deliverable 5.4

	vSphere 5.0	vSphere 5.1	vSphere 5.5	vSphere 6.0
Hosts per vCenter	1000	1000	1000	1000
Hosts per datacenter	500	500	500	500
Hosts per cluster	32	32	32	64
VMs per cluster	3000	4000	4000	8000
Powered on VMs	10000	10000	10000	10000
Registered VMs	15000	15000	15000	15000
Linked vCenter Servers	10	10	10	10

Table 8, vCenter Server Performance

In the remainder of this section, we present performance tests defined and executed for the AAAaaS application, and we discuss results. Note that performance and scalability analysis of DBB and E2EE mechanisms, integrated into the application, is available in deliverable D5.2.2.

The methodology for the performance and scalability analysis is detailed in deliverable D1.5.2 and is adopted on the project level. Here we present user profiles defined for the application and present results obtained with the performance analysis tool Gatling [15].

We made a single user profile, associated to the Wizard, which orchestrates all requests to the platform, so summarizing the overall behaviour during the real application execution. Performance figures reported in the tables present all calls invoked by the application wizard.

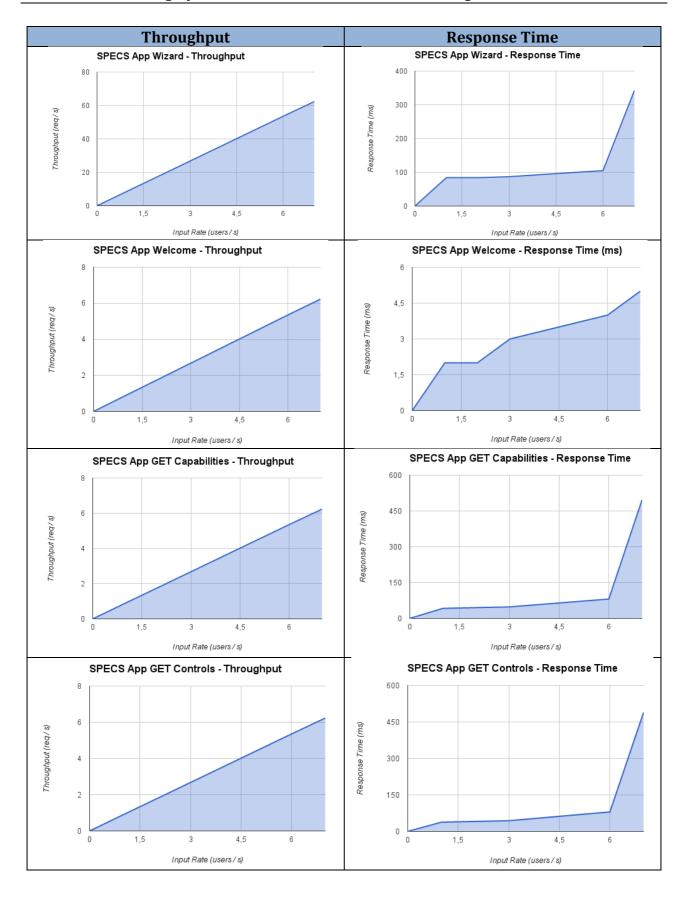
User Profile	Description	Scripts
Wizard	Perform the full negotiation process,	• WebAppSign.scala
	selecting all the proposed capabilities	

Table 9. AAAaaS application user profiles for performance tests

In the table below we present performance results for the application. In the left column we illustrate the *throughput* granted by the SPECS application, and in the right column we present the *response time* of the associated tests. In the graphs below, we report the values for each action of the application wizard. The application wizard implies the execution of all the services offered by the SPECS Platform, according to the flow discussed in deliverable D1.3.

It is worth noticing that the application can manage about 7 users per second (i.e. about 420 users per minute). The wizard results in the acquisition of a varying number of VMs between 3 and 5, as a consequence 420 user per minute, implies about 2100 VMs delivered per minute (EMC's testbed can deliver at most 15000 VMs in total).

According to such result, even the minimal configuration proposed (all components hosted in the same VM, excluded only the Chef server) with only 1 GB of RAM memory enables to manage a little datacenter (few hundreds of VMs available).



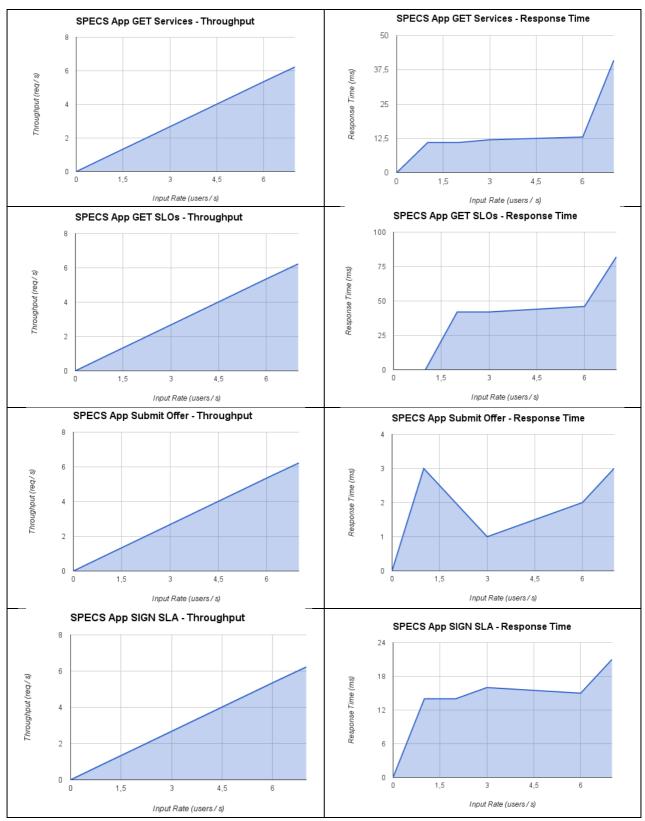


Table 10. Performance results for the AAAaaS application on EMC's testbed

7. Installation

In order to replicate the EMC testbed, the system architecture and configuration of components described in deliverable D5.3 is required. As illustrated in Section 5.1, at a minimum the system requires three hosts (two running ESXi, one running the management server in Windows), a storage array (such as VMAX) and a fibre-channel switch with the appropriate zoning in place so that all components can communication with each other. On the software side, vCenter is required to manage the datacenter's compute and network resources while ViPR must be installed and configured to discover and manage the connected storage array. Finally, a standalone Chef server is required to deploy and configure VMs running services.

While this deliverable (and D5.3) focuses on the ViPR product as the primary virtual storage solution, the SPECS application is designed to be platform agnostic and supports platforms such as Amazon EC, Openstack and vSphere. Irrespective of the storage services, it is possible to still negotiate, enforce and monitor an SLA for the AAA and E2EE capabilities.

The EMC testbed contains the following VMs required for the demo:

- **Chef server**: A full installation of the Chef server which should have, at least, an administrator account enabled. This server is a central repository for registers nodes and cookbooks (policies applied to nodes). The cookbooks are available from the following SPECS repositories:
 - o https://bitbucket.org/specs-team/specs-core-external-repository
 - o https://bitbucket.org/specs-team/specs-core-enabling-platform-repository
 - o https://bitbucket.org/specs-team/specs-core-enforcement-repository
- **Chef workstation**: Central workstation configured to interact with the Chef Server and nodes, from which users can perform tasks such as:
 - Develop cookbooks and recipes
 - o Manage nodes, roles, resources, etc.
 - Configure polices
- **ViPR vApp**: A virtual application installed on a number of VMs (various standard configurations available) that hosts the ViPR controller (see D5.3 for further detail).
- **SPECS Enabling Platform**: Hosts the SPECS application.

To install the SPECS platform on the VM with already installed and configured Chef Client, the following recipes should be executed:

- enabling-platform::apache-tomcat-v7
- monitoring::event-hub
- monitoring::event-archiver
- monitoring::ctp-server
- monitoring::ctp-adaptor
- monitoring::wui
- enforcement::implementation
- sla-negotiation::slo-manager
- enforcement::diagnosis
- enforcement::rds
- enforcement::planning
- monitoring::monipoli
- enforcement::aaa

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- sla-negotiation::security-reasoner
- applications::web-container-app-rev2
- sla-platform::metric-catalogue
- sla-platform::sla-manager
- sla-platform
- sla-platform::service-manager

Changes in the configurations which define the base address are needed to allow it to work (config.js and sws_conf.properties).

Note that installation guides for core components of the SPECS architecture are available in dedicated deliverables (for the SLA Platform in D1.4.2, for the Negotiation module in D2.3.2, for the Monitoring module in deliverables D3.4.1 and D3.4.2, and for the Enforcement module in deliverables D4.3.2 and D4.3.3). Installation guides for the AAA mechanism is available in deliverable D4.3.3, and guides for DBB and E2EE mechanisms are available in deliverable D5.2.2.

8. Usage

The SPECS AAAaaS application is accessible to End-users via a web browser. Like other SPECS applications, it presents the negotiation wizard, used by End-users to negotiate required capabilities and obtain an SLA to sign. As shown in Figure 10, the AAAaaS application negotiation wizard enables the selection of two different services, namely the *Secure Storage AAA* and *Secure Storage with EMC ViPR*. Indeed, as pointed out in Section 5, through the AAAaaS application the End-user first acquires the virtual storage resources from the EMC datacentre, and then deploys the secure storage services (including DBB, E2EE and AAA mechanisms) on top of them. Both processes entail a negotiation since, as discussed in D5.3, it is possible to negotiate performance and security features related to virtual storage resources through the integration of ViPR and SPECS services. Hence, the flow for the deployment of the AAAaaS application is as follows:

- 1. Acquire virtual storage resources
- 2. Deploy secure storage service

We skip the first step as it has been extensively discussed in deliverable D5.3. In the remainder we report the second step.

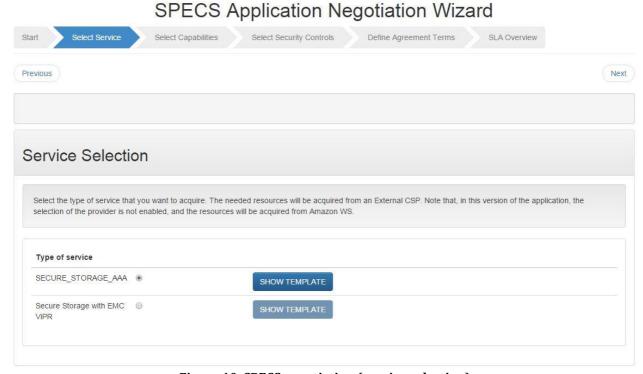


Figure 10. SPECS negotiation (service selection)

Once the storage resources have been acquired and related capabilities have been enforced according to the SLA, the next step is to launch the <code>SECURE_STORAGE_AAA</code> negotiation process. By selecting this offer, the End-user will be prompted with the security capabilities available under the <code>SECURE_STORAGE_AAA</code> service. These capabilities, shown in Figure 11, cover three core areas: database and backup as-a-Service, end-to-end encryption, and authentication, authorization, and auditing.



Figure 11. SPECS negotiation (capability selection)

After the selection of the requested capabilities, the SPECS application presents the End-user with the available controls (as shown in Figure 12 and Figure 13). Figure 12 highlights the controls available under the *Database and Backup as-a-Service* capability, while Figure 13 highlights the controls available under the *End-to-end Encryption* and *Authentication, Authorization, and Auditing* capability.

SPECS Application Negotiation Wizard Select Capabilities Select Security Controls Define Agreement Terms Next Security Controls For each selected capability, choose the security controls you are interested in and assign them a score according to the importance you give to them The listed controls belong to specific security frameworks, such as NIST Security Control Framework and CSA Cloud Control Matrix Each control is associated with a set of security metrics, on top of which, in the next step, you will be able to define SLOs that will be inserted in a Security SLA. Note that, in case you do not select any control, you will not able to define SLOs in the next step. Database and Backup as-a-service CCM Control framework v3.0 Select All The provider shall ensure the integrity of all virtual machine images ☐ Infrastructure and Virtualization Security â Change Detection Importance weight: MEDIUM 🔻 at all times. Any changes made to virtual machine images must be logged and an alert raised regardless of their running state (e.g. dormant, off, or running). A consistent unified framework for business continuity planning and Business Continuity Mgmt and Op Resilience â Business Continuity Planning plan development shall be established, documented and adopted to Importance weight: MEDIUM ▼ ensure all business continuity plans are consistent in addressing priorities for testing, maintenance, and information security requirements. Policies and procedures shall be established, for defining and Business Continuity Mgmt and Op Resilience â Retention Policy Importance weight: MEDIUM ▼ adhering to the retention period of any critical asset as per established policies and procedures, as well as applicable legal, statutory, or regulatory compliance obligations. Backup and recovery measures shall be incorporated as part of business continuity planning and tested accordingly for effectiveness. Data input and output integrity routines (i.e., reconciliation and edit Application and Interface Security - Data Integrity Importance weight: MEDIUM ▼ checks) shall be implemented for application interfaces and databases to prevent manual or systematic processing errors, corruption of data or misuse

Figure 12. SPECS negotiation (security controls selection) (1/2)

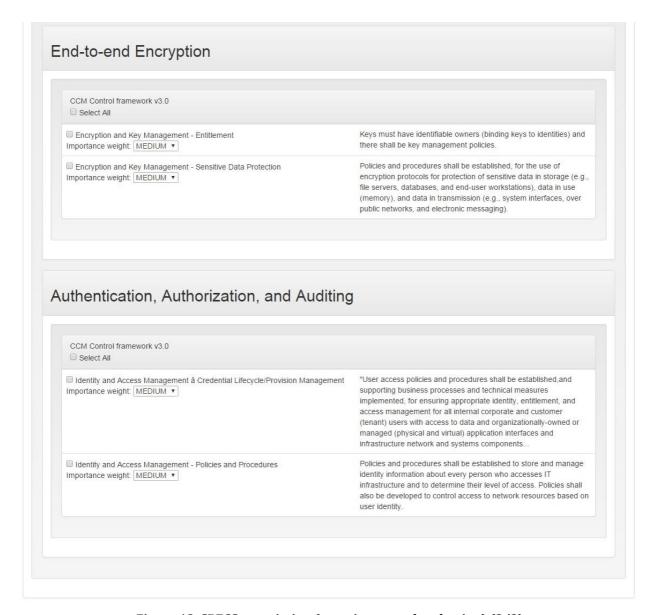


Figure 13. SPECS negotiation (security controls selection) (2/2)

Each of these controls is mapped to a specific security metric. For example, the End-to-end Encryption controls Encryption and Key Management – Entitlement/Sensitive Data Protection map to the E2EE – Confidentiality SLO. In the next step of the negotiation process, the End-user defines SLOs (i.e. selects preferred metrics, specifies their preferred values, and assigns to them *importance* weights), as shown in Figure 14.

SPECS Application Negotiation Wizard Select Capabilities Select Security Controls Define Agreement Terms SLA Overview Next Previous Service Level Objectives comparison expression over a metric. If you are not a security expert just adopt the default values and go ahead with the next step. Note that, in case you do not select any metric, you will not be able to obtain any guarantee over implemented security features. E2EE Select All Confidentiality The existence of certification. Importance: MEDIUM ▼ ▼ yes Expression: equal DBB ■ Write-Serializability The activation of write serializability Importance: MEDIUM ▼ ▼yes Expression: equal The activation of read freshness Importance: MEDIUM ▼ ▼ yes Expression: equal Show metric details Integrity The activation of integrity. Importance: MEDIUM ▼ ▼ yes Expression: equal AAA AAA Log Completeness The level of completeness of report Importance: MEDIUM ▼ ▼ MEDIUM Expression: equal

Figure 14. SPECS negotiation (definition of SLOs)

Once the End-user has specified her/his requirements, the SPECS portal will allow the End-user to *Request Offers* (as shown in Figure 15), which returns all available SLA Offers meeting the End-user requirements (as shown in Figure 16).



Figure 15. SPECS negotiation (request offers)

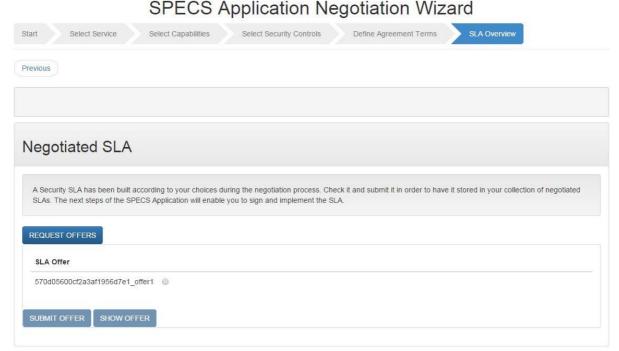


Figure 16. SPECS negotiation (received SLA Offers)

The End-user can then choose each SLA and select the option *Show Offer* (see Figure 17) which will display an overview of the SLA Offer (in XML format). This form displays the negotiated capabilities, controls, metrics, their associated values, and the importance weight associated with them. The *importance* is additional specified, selected by the user that enables SPECS to make more informed decisions about the service a provider is offering.

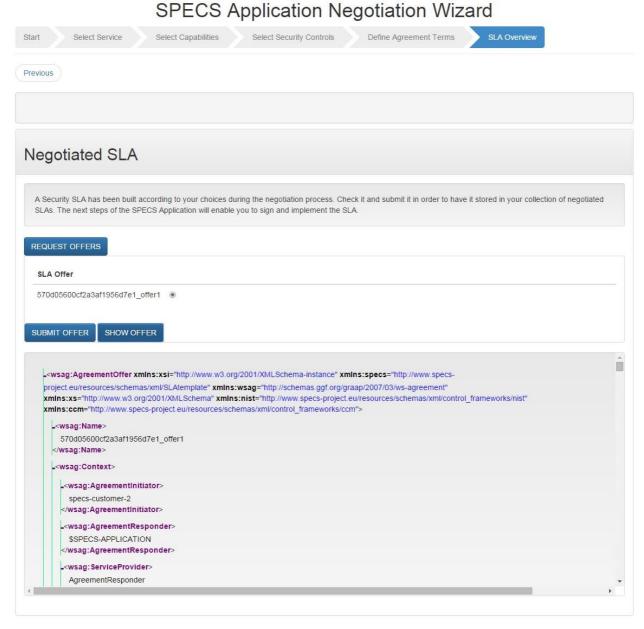


Figure 17. SPECS negotiation (reviewing SLA Offer)

On submitting the chosen SLA offer, the End-user is asked to sign it. As can be seen in Figure 18, the End-user can choose to *Sign the SLA*, display the *Template*, or display the *Synthetic* view. The *Synthetic* view gives the End-user a simplified, tabular view of the capabilities captured by the SLA.

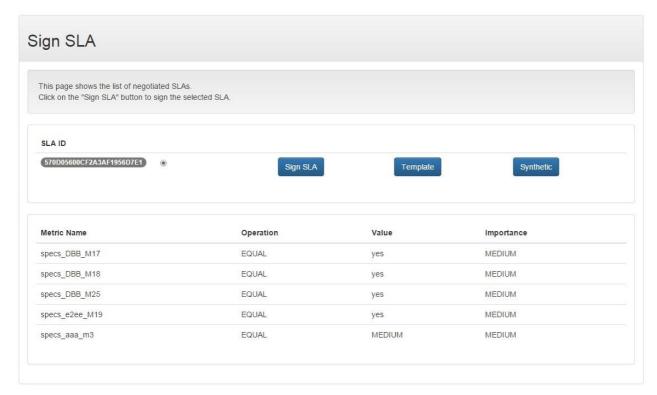


Figure 18. SPECS negotiation (sign SLA)

Now that the SLA has been signed, the End-user must select *Implement SLA* (Figure 19) to complete the process and enforce the signed SLA.



Figure 19. SPECS Negotiation (implement SLA)

Implementing the SLA triggers the deployment of the secure storage service according to the signed SLA. SPECS provides a view to the End-user to monitor the status of the deployment, as can be seen in Figure 20. Options to view the SLA in its entirety or open the *Synthetic* view are also available so that End-users can easily review and monitor their currently enforced SLAs.

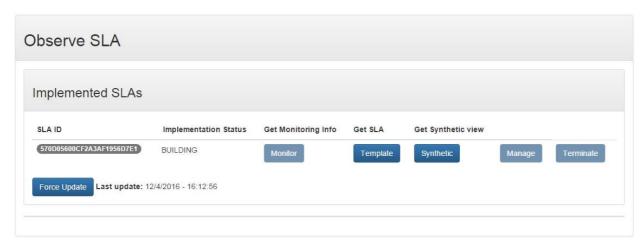


Figure 20. SPECS Negotiation (observe SLA)

For the usage of the E2EE, DBB, and AAA components please see deliverables D5.2.2 and D4.3.3.

9. Testing

The scenario presented in this deliverable is composed of the SPECS framework, SPECS mechanisms, and ViPR solution. The SPECS framework and the security mechanisms have been developed and tested in other tasks of the project, thus we refer the interested reader to deliverables D1.4.2, D2.3.2, D3.4.2, and D4.5.3 for tests associated to the core modules, deliverable D1.5.2 for the integration tests associated to the SPECS core components, deliverable D4.5.3 for tests associated to the AAA mechanism and deliverable D5.2.2 for tests associated to the DBB and E2EE mechanisms. Since the ViPR application has been developed in task T5.3, we refer the reader to see associated tests in deliverable D5.3.

In the following tables we present all details for the integration test aimed at evaluating the behaviour of the AAAaaS application.

Example ID	App-D1
Description	This scenario integrates the AAAaaS application with the AAA security
	mechanism.
Link	https://bitbucket.org/specs-team/specs-integration-test-appd
Core components	All
Security mechanisms	AAA, ViPR
SPECS applications	Default SPECS application (AAAaaS application)
Inputs	An SLA template with the AAA capabilities and corresponding
	SLOs, security mechanism AAA.
Expected results	(1) Correct capabilities, security controls and SLOs are presented
	by the SPECS application in the negotiation GUI, (2) SLA offers
	are created correctly corresponding to selected SLOs, (3)
	negotiation finishes successfully, (4) SLA is signed, (5) SLA is
	implemented successfully, and (6) VMs are provisioned
	successfully and correct AAA components are installed.
Outputs	All points defined above were successfully accomplished and the
	results were all as expected.
Comments	The Selenium ¹⁰ tool was used to automate interaction with SPECS
	web application.

Table 11. Integration test *App-D1* for the AAA-as-a-Service application

Example ID	App-D2
Description	This scenario extends the <i>App-D1</i> integration scenario with the DBB
	and E2EE mechanisms.
Link	https://bitbucket.org/specs-team/specs-integration-test-appd
Core components	All
Security mechanisms	AAA, DBB, E2EE, ViPR
SPECS applications	Default SPECS application (AAAaaS application)
Inputs	An SLA template with AAA, DBB, and E2EE capabilities and
	corresponding SLOs, security mechanisms AAA, DBB, and E2EE.
Expected results	(1) Correct capabilities, security controls and SLOs are presented
	by the SPECS application in the negotiation GUI, (2) SLA offers
	are created correctly corresponding to selected SLOs, (3)
	negotiation finishes successfully, (4) SLA is signed, (5) SLA is
	implemented successfully, and (6) VMs are provisioned
	successfully and correct AAA, DBB, and E2EE components are
	installed.
Outputs	All points defined above were successfully accomplished and the
	results were all as expected.
Comments	The Selenium tool was used to automate interaction with SPECS
	web application.

Table 12. Integration test *App-D2* for the AAA-as-a-Service application

The integration of all components in EMC testbed highlights the low footprint and overhead introduced by SPECS and shows how the extreme automation introduced by SPECS could be used in a real-world environment with low effort from CSP side in the customization and integration of the SPECS platform.

Considering that, for example, the deployment of the AAA machine with the installation of Apache Tomcat and the AAA mechanism takes less than a couple of minutes in average. This performance could be improved by creating a high priority resource schedule for the machine or raising the number of CPUs associated and the RAM of the template. As in the real environments, this type of allocation and prioritization usually has a price that the End-user can decide to afford or not, depending on the requirements of her/his application.

10. Conclusions

By successfully integrating ViPR and E2EE mechanism using the SPECS AAA mechanism, we demonstrated that SPECS identity management capabilities provided by the AAA mechanism can be used as an identity management *as-a-service* component. Furthermore, we demonstrated that the SPECS AAA mechanism can be used as easily as any other external identity management provider, such as Google IAM. There is a notable distinction however, the SPECS AAA mechanism can be deployed automatically by the SPECS platform and is customizable through the SLA negotiation process (please see deliverable D4.3.3 for capabilities and security metrics offered by the AAA mechanism).

Additionally, we demonstrated that the E2EE mechanism can be plugged-in to systems other than what was initially defined (OpenStack, Amazon EC).

The development of the application led the deployment and validation of a novel approach to the instantiation of cloud services on cloud storage acquired through a broker. This deliverable has demonstrated that is it possible to give fine grained control to the End-user over not only the security mechanisms they wish to deploy but also over the hardware on which they are deployed. This enables further visibility over the entire End-user environment and guarantees protection of services and End-user applications/data through the use of security SLAs.

The core security mechanism deployed for the demonstration of this advance in cloud service brokering was the identity management functionalities (the AAA mechanism). The demonstrator successfully showed how such a mechanism could be automatically provisioned on a newly acquired secure storage service.

Building on the infrastructure developed and validated in D5.3, this deliverable has made further progress towards the realisation of the ngDC scenario. End-users now have the capability of defining (i) the storage on which their data will be securely stored (D5.3) and (ii) the compute resources which will run their services (D5.4). In addition, it is possible for End-users to deploy security services such as the AAA mechanism that is tailored to their security requirements. In particular, the AAA mechanism offers End-users increased control over the access control rights to their data and services. This, alongside other mechanisms (e.g. E2EE), increases the visibility and control over the security of End-user services and data. These benefits add value to cloud services by improving customer confidence that is required in order achieve greater adoption levels of cloud services.

11. Bibliography

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Appendix 1. The AAAaaS SLA Template

In the following, we report the SLA Template created for the AAAaaS application with the default values for all associated security metrics (in the XML format). The important parts are highlighted in yellow. Note that the template only refers to the process described in Section 8 about the deployment of the secure storage service after the acquisition of the virtual storage resources.

```
<?xml version="1.0" encoding="UTF-8" standalone="yes"?>
<wsaq:AgreementOffer</pre>
    xmlns:xsi="http://www.w3.org/2001/XMLSchema-instance"
    xmlns:specs="http://www.specs-project.eu/resources/schemas/xml/SLAtemplate"
    xmlns:wsag="http://schemas.ggf.org/graap/2007/03/ws-agreement"
    xmlns:xs="http://www.w3.org/2001/XMLSchema"
    xmlns:nist="http://www.specs-
                project.eu/resources/schemas/xml/control frameworks/nist"
    xmlns:ccm="http://www.specs-project.eu/resources/schemas/xml/control_frameworks/ccm">
    <wsag:Name>SECURE STORAGE AAA</wsag:Name>
    <wsaq:Context>
        <wsag:AgreementInitiator>specs-customer-2</wsag:AgreementInitiator>
        <wsag:AgreementResponder>$SPECS-APPLICATION</wsag:AgreementResponder>
        <wsag:ServiceProvider>AgreementResponder</wsag:ServiceProvider>
        <wsag:ExpirationTime>2016-04-30T06:00:00+03:00</wsag:ExpirationTime>
        <wsag:TemplateName>SECURE_STORAGE_WITH_AAA</wsag:TemplateName>
    </wsaq:Context>
    <wsag:Terms>
        <wsag:All>
            <wsaq:ServiceDescriptionTerm</pre>
                wsag:Name="Secure Storage with AAA"
                wsag: ServiceName="SecureStorageAAA">
                <specs:serviceDescription>
                     <specs:serviceResources>
                         <specs:resourcesProvider</pre>
                             id="aws-ec2"
                             name="<mark>Amazon</mark>"
                             zone="<mark>us-east-1</mark>"
                             maxAllowedVMs="20"
                             description=""
                             label="">
                             <specs:VM
                                 appliance="us-east-1/ami-ff0e0696"
                                 hardware="t1.micro"
                                 description="open suse 13.1 on amazon EC2"/>
                         </specs:resourcesProvider>
                     </specs:serviceResources>
                     <specs:capabilities>
                         <specs:capability</pre>
                            id="DBB"
                            name="Database and Backup as-a-service"
                            description="Capability of surviving to incidents that
                                         compromise the availability and/or integrity of
                                         data stored remotely by providing backup
                                         service and the detection of WS and RF
                                         violations'
                            mandatory="false">
                             <specs:controlFramework</pre>
                                 id="CCM v3.0"
                                 frameworkName="CCM Control framework v3.0">
```

```
<specs:CCMsecurityControl</pre>
            id="IVS-02"
            name="Infrastructure and Virtualization Security -
                 Change Detection"
            control domain="IVS">
            <ccm:description>The provider shall ensure the
            integrity of all virtual machine images at all times.
            Any changes made to virtual machine images must be
            logged and an alert raised regardless of their
            running state (e.g. dormant, off, or running).
            </cm:description>
            <ccm:importance weight>MEDIUM</ccm:importance weight>
        </specs:CCMsecurityControl>
        <specs:CCMsecurityControl</pre>
            id="BCR-01"
            name="Business Continuity Mgmt and Op Resilience -
                 Business Continuity Planning"
            control_domain="BCR">
            <ccm:description>A consistent unified framework for
            business continuity planning and plan development
            shall be established, documented and adopted to
            ensure all business continuity plans are consistent
            in addressing priorities for testing, maintenance,
            and information security requirements.
            </cm:description>
            <ccm:importance weight>MEDIUM</ccm:importance weight>
        </specs:CCMsecurityControl>
        <specs:CCMsecurityControl</pre>
            id="BCR-11"
            name="Business Continuity Mgmt and Op Resilience -
                  Retention Policy" control domain="BCR">
            <ccm:description>Policies and procedures shall be
            established, for defining and adhering to the
            retention period of any critical asset as per
            established policies and procedures, as well as
            applicable legal, statutory, or regulatory compliance
            obligations. Backup and recovery measures shall be
            incorporated as part of business continuity planning
            and tested accordingly for effectiveness.
            </cm:description>
            <ccm:importance weight>MEDIUM</ccm:importance weight>
        </specs:CCMsecurityControl>
        <specs:CCMsecurityControl</pre>
            id="AIS-03"
            name="Application and Interface Security - Data
                  Integrity" control domain="AIS">
            <ccm:description>Data input and output integrity
            routines (i.e., reconciliation and edit checks) shall
            be implemented for application interfaces and
            databases to prevent manual or systematic processing
            errors, corruption of data, or misuse.
            </cm:description>
            <ccm:importance_weight>MEDIUM</ccm:importance weight>
        </specs:CCMsecurityControl>
    </specs:controlFramework>
</specs:capability>
<specs:capability</pre>
   id="E2EE"
   name="End-to-end Encryption"
   description="Capability of providing client-side encryption
                 enforcing confidentiality."
   mandatory="false">
```

```
<specs:controlFramework</pre>
        id="CCM v3.0"
        frameworkName="CCM Control framework v3.0">
        <specs:CCMsecurityControl</pre>
            id="EKM-01"
            name="Encryption and Key Management - Entitlement"
            control domain="EKM">
            <ccm:description>Keys must have identifiable owners
            (binding keys to identities) and there shall be key
            management policies.
             </cm:description>
            <ccm:importance_weight>MEDIUM</ccm:importance_weight>
        </specs:CCMsecurityControl>
        <specs:CCMsecurityControl</pre>
            id="EKM-03"
            name="Encryption and Key Management - Sensitive Data
                  Protection" control domain="EKM">
            <ccm:description>Policies and procedures shall be
            established, for the use of encryption protocols for
            protection of sensitive data in storage (e.g., file
            servers, databases, and end-user workstations), data
            in use (memory), and data in transmission (e.g.,
            system interfaces, over public networks, and
            electronic messaging).
            </cm:description>
            <ccm:importance weight>MEDIUM</ccm:importance weight>
        </specs:CCMsecurityControl>
    </specs:controlFramework>
</specs:capability>
<specs:capability</pre>
    id="AAA"
    name="Authentication, Authorization, and Auditing"
    description="Capability of providing identity management and
                  access control functionalities"
    mandatory="false">
    <specs:controlFramework</pre>
        id="CCM v3.0"
        frameworkName="CCM Control framework v3.0">
        <specs:CCMsecurityControl</pre>
            id="IAM-02"
            name="Identity & Access Management - Credential
                  Lifecycle/Provision Management"
            control domain="IAM">
            <ccm:description>"User access policies and procedures
             shall be established, and supporting business
             processes and technical measures implemented, for
             ensuring appropriate identity, entitlement, and
             access management for all internal corporate and
             customer (tenant) users with access to data and
             organizationally-owned or managed (physical and
             virtual) application interfaces and infrastructure
             network and systems components...
             </cm:description>
            <ccm:importance weight>MEDIUM</ccm:importance weight>
        </specs:CCMsecurityControl>
        <specs:CCMsecurityControl</pre>
            id="IAM-04"
            name="Identity & Access Management - Policies and
                  Procedures"
            control domain="IAM">
            <ccm:description>Policies and procedures shall be
             established to store and manage identity information
             about every person who accesses IT infrastructure
             and to determine their level of access. Policies
             shall also be developed to control access to network
```

```
resources based on user identity.
                 </cm:description>
                <ccm:importance weight>MEDIUM</ccm:importance weight>
            </specs:CCMsecurityControl>
        </specs:controlFramework>
    </specs:capability>
</specs:capabilities>
<specs:security_metrics>
    <specs:Metric</pre>
        name="Write-Serializability"
        referenceId="write serializability M17">
        <specs:MetricDefinition>
            <specs:unit name="">
                <specs:enumUnit>
                   <specs:enumItemsType>boolean</specs:enumItemsType>
                    <specs:enumItems>
                        <specs:enumItem></specs:enumItem>
                        <specs:enumItem></specs:enumItem>
                    </specs:enumItems>
                </specs:enumUnit>
            </specs:unit>
            <specs:scale>
                <specs:Quantitative>Ratio</specs:Quantitative>
            </specs:scale>
            <specs:expression>The activation of write
            serializability</specs:expression>
            <specs:definition>This metric ensures the EU consistency
            among updates of the stored data. In case of WS
            violations, the EU will be notified and the system will
            be restored to the state of the last completed
            update.</specs:definition>
            <specs:note></specs:note>
        </specs:MetricDefinition>
    </specs:Metric>
    <specs:Metric</pre>
        name="Read-Freshness"
        referenceId="read freshness M18">
        <specs:MetricDefinition>
            <specs:unit name="">
                <specs:enumUnit>
                   <specs:enumItemsType>boolean</specs:enumItemsType>
                    <specs:enumItems>
                        <specs:enumItem></specs:enumItem>
                        <specs:enumItem></specs:enumItem>
                    </specs:enumItems>
                </specs:enumUnit>
            </specs:unit>
            <specs:scale>
                <specs:Quantitative>Ratio</specs:Quantitative>
            </specs:scale>
            <specs:expression>The activation of read
            freshness</specs:expression>
            <specs:definition>This metric ensures the EU that the
            requested data will always be fresh as of the last
            update. In case of RF violations, the EU will be notified
            and the system will be restored to the state of the last
            completed backup.</specs:definition>
            <specs:note></specs:note>
        </specs:MetricDefinition>
    </specs:Metric>
    <specs:Metric
        name="Integrity"
        referenceId="integrity M25">
```

```
<specs:MetricDefinition>
        <specs:unit name="">
            <specs:enumUnit>
               <specs:enumItemsType>boolean</specs:enumItemsType>
                <specs:enumItems>
                    <specs:enumItem></specs:enumItem>
                    <specs:enumItem></specs:enumItem>
                </specs:enumItems>
            </specs:enumUnit>
       </specs:unit>
        <specs:scale>
           <specs:Quantitative>Ratio
        </specs:scale>
        <specs:expression>The activation of
        integrity.</specs:expression>
        <specs:definition>This metric ensures the EU
        integrity of the stored data.</specs:definition>
       <specs:note></specs:note>
    </specs:MetricDefinition>
</specs:Metric>
<specs:Metric</pre>
   name="Confidentiality"
   referenceId="confidentiality_M19">
   <specs:MetricDefinition>
        <specs:unit name="">
            <specs:enumUnit>
               <specs:enumItemsType>boolean</specs:enumItemsType>
                <specs:enumItems>
                    <specs:enumItem></specs:enumItem>
                    <specs:enumItem></specs:enumItem>
                </specs:enumItems>
            </specs:enumUnit>
        </specs:unit>
        <specs:scale>
            <specs:Quantitative>Ratio</specs:Quantitative>
        </specs:scale>
        <specs:expression>The existence of
       certification.</specs:expression>
       <specs:definition>This metric ensures the EU
       confidentiality of the stored data. Confidentiality is
       enforced with end-2-end encryption provided by the Client
       component. We guarantee that the Client component is
       audited and thus (used as is) grants security of
       encryption.</specs:definition>
        <specs:note></specs:note>
    </specs:MetricDefinition>
</specs:Metric>
<specs:Metric</pre>
   name="Secure delegated Access"
   referenceId="secure delegated access">
   <specs:MetricDefinition>
       <specs:unit name="">
            <specs:enumUnit>
               <specs:enumItemsType>boolean</specs:enumItemsType>
                <specs:enumItems>
                    <specs:enumItem>yes</specs:enumItem>
                    <specs:enumItem>no</specs:enumItem>
                </specs:enumItems>
            </specs:enumUnit>
        </specs:unit>
        <specs:scale>
           <specs:Quantitative>Ratio</specs:Quantitative>
        </specs:scale>
        <specs:expression>The installation of an OAuth
        server</specs:expression>
```

```
<specs:definition>This metric ensures that an OAuth
                     Server is configured to ensure authentication and
                     authorization of users and secure delegated
                     access to the users' resources to registered
                     clients.</specs:definition>
                    <specs:note></specs:note>
                </specs:MetricDefinition>
            </specs:Metric>
            <specs:Metric</pre>
                name="Access report generation frequency "
                referenceId="access_report_generation_freq">
                <specs:MetricDefinition>
                    <specs:unit name="hours">
                     <specs:intervalUnit>
              <specs:intervalItemsType>integer</specs:intervalItemsType>
              <specs:intervalItemStart>1</specs:intervalItemStart>
              <specs:intervalItemStop></specs:intervalItemStop>
              <specs:intervalItemStep></specs:intervalItemStep>
                     </specs:intervalUnit>
              </specs:unit>
              <specs:scale>
               <specs:Quantitative>Ratio</specs:Quantitative>
               </specs:scale>
               <specs:expression>The frequency of report
                     generation</specs:expression>
               <specs:definition>This metric sets the frequency of access
                     reports generation. For example, for
                     access report gen frequency=12, SPECS ensures that a
                     report is generated at least once every 12
              hours.</specs:definition>
                    <specs:note></specs:note>
                </specs:MetricDefinition>
            </specs:Metric>
              <specs:Metric</pre>
                name="AAA Log Completeness "
                referenceId="aaa_log_completeness">
                <specs:MetricDefinition>
                     <specs:enumUnit>
                           <specs:enumItemsType>string</specs:enumItemsType>
                             <specs:enumItems>
                                 <specs:enumItem>LOW</specs:enumItem>
                                 <specs:enumItem>MEDIUM</specs:enumItem>
              <specs:enumItem>HIGH</specs:enumItem>
                            </specs:enumItems>
                        </specs:enumUnit>
                    </specs:unit>
                    <specs:scale>
                        <specs:Quantitative>Ratio</specs:Quantitative>
                    </specs:scale>
                    <specs:expression>The level of completeness of
                     report</specs:expression>
                    <specs:definition>This metric represents how detailed the
                     access reports must be.</specs:definition>
                    <specs:note></specs:note>
                </specs:MetricDefinition>
            </specs:Metric>
        </specs:security metrics>
    </specs:serviceDescription>
</wsag:ServiceDescriptionTerm>
<wsag:ServiceProperties</pre>
```

```
wsag:Name="//specs:capability[@id='DBB']"
    wsaq:ServiceName="SecureStorageAAA">
    <wsag:VariableSet>
        <wsag:Variable</pre>
            wsag:Name="specs DBB M17"
            wsag:Metric="write serializability M17">
            <wsaq:Location>
                 //specs:CCMsecurityControl[@id='IVS-02'] |
                 //specs:CCMsecurityControl[@id='BCR_01'] |
                //specs:CCMsecurityControl[@id='BCR_11']
            </wsag:Location>
        </wsag:Variable>
        <wsag:Variable</pre>
            wsag:Name="specs DBB M18"
            wsag:Metric="read freshness M18">
           <wsag:Location>
               //specs:CCMsecurityControl[@id='AIS-03'] |
               //specs:CCMsecurityControl[@id='BCR 01'] |
               //specs:CCMsecurityControl[@id='BCR 11']
           </wsag:Location>
        </wsag:Variable>
        <wsaq:Variable
            wsag:Name="specs DBB M25"
            wsag:Metric="integrity_M25">
           <wsag:Location>
               //specs:CCMsecurityControl[@id='AIS-03']
           </wsag:Location>
        </wsag:Variable>
    </wsag:VariableSet>
</wsag:ServiceProperties>
<wsag:ServiceProperties</pre>
    wsaq:Name="//specs:capability[@id='E2EE']"
    wsag:ServiceName="SecureStorageAAA">
    <wsag:VariableSet>
        <wsag:Variable
            wsag:Name="specs e2ee M19"
            wsag:Metric="confidentiality_M19">
            <wsag:Location>
                 //specs:CCMsecurityControl[@ccm:id='EKM-01'] |
                //specs:CCMsecurityControl[@ccm:id='EKM-03']
            </wsag:Location>
        </wsag:Variable>
    </wsag:VariableSet>
</wsag:ServiceProperties>
        <wsag:ServiceProperties</pre>
    wsag:Name="//specs:capability[@id='AAA']"
    wsaq:ServiceName="SecureStorageAAA">
    <wsag:VariableSet>
        <wsag:Variable</pre>
            wsag:Name="specs_aaa_m1"
            wsag:Metric="secure_delegated_access">
            <wsag:Location>
                //specs:CCMsecurityControl[@ccm:id='IAM-02'] |
                //specs:CCMsecurityControl[@ccm:id='IAM-04']
            </wsag:Location>
        </wsag:Variable>
    </wsag:VariableSet>
              <wsag:VariableSet>
        <wsag:Variable</pre>
            wsag:Name="specs_aaa_m2"
            wsag:Metric="access_report_generation_freq">
            <wsag:Location>
                 //specs:CCMsecurityControl[@ccm:id='IAM-02'] |
```

```
//specs:CCMsecurityControl[@ccm:id='IAM-04']
            </wsaq:Location>
        </wsag:Variable>
    </wsag:VariableSet>
              <wsaq:VariableSet>
        <wsaq:Variable
           wsag:Name="specs aaa m3"
            wsag:Metric="aaa_log_completeness">
            <wsag:Location>
                //specs:CCMsecurityControl[@ccm:id='IAM-02'] |
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</wsag:ServiceProperties>
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